

# Towards Efficient and Effective Adversarial Training



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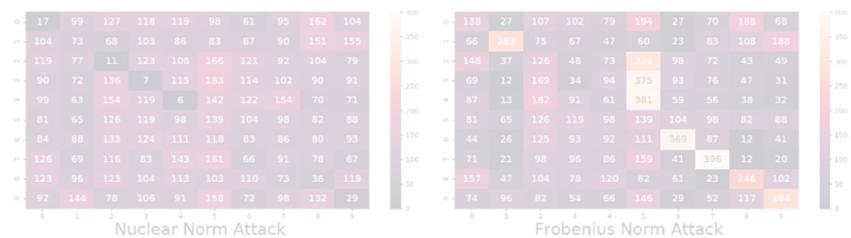
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Indian Institute of Science, Bangalore



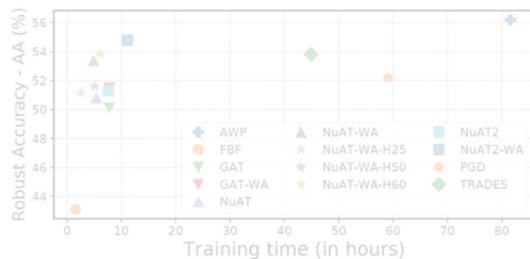
## Introduction



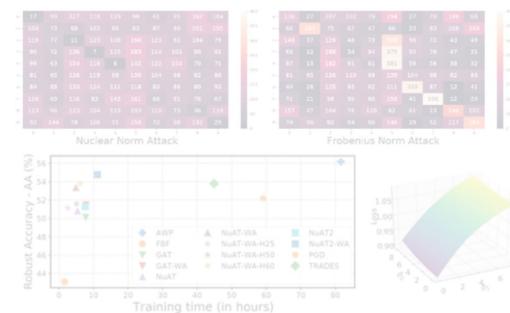
## NuAT: Nuclear Norm Adversarial Training



## Experiments and Analysis



## Summary



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# Introduction



“panda”

57.7% confidence

+ .007 ×



noise

=



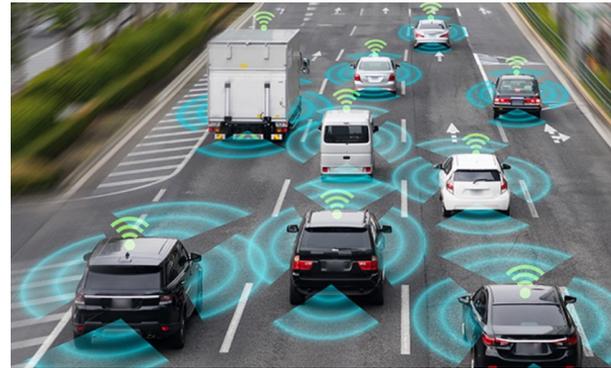
“gibbon”

99.3% confidence



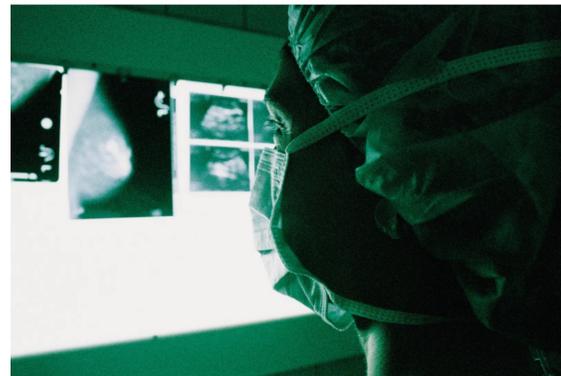
# Deep Learning Applications

- Autonomous navigation systems
- Surveillance systems
- Medicine and health care
- Reinforcement learning
- Generative modelling
- Style transfer
- Robotics
- Speech Processing
- Natural Language Processing



<https://www.theparliamentmagazine.eu/news/article/autonomous-driving-a-glimpse-into-the-future>

## AI beats docs in cancer spotting



<https://paulbiegler.com/2017/12/21/ai-beats-docs-in-cancer-spotting/>

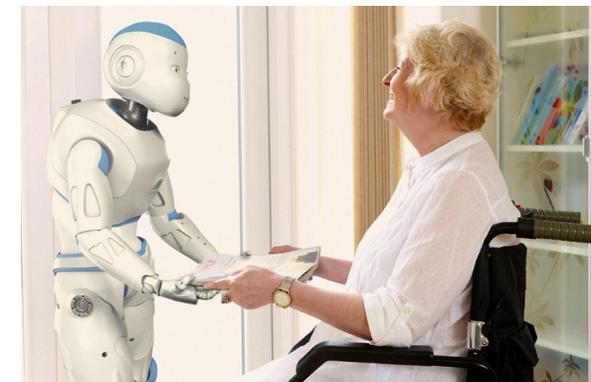
## Google's DeepMind defeats legendary Go player Lee Se-dol in historic victory

By Sam Byford | @345triangle | Mar 9, 2016, 2:32am EST

f t SHARE



<https://www.theverge.com/2016/3/9/11184362/google-alphago-go-deepmind-result>



<https://www.icsfoundation.ie/can-make-care-robots-affordable-need/>

# Adversarial Attacks

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Prediction: **Hamster**

Confidence = 99.99%

+ 0.02 \*



50-step PGD targeted attack  
with  $\epsilon = \frac{8}{255}$  scaled by 50x

=



Prediction: **Banjo**

Confidence = 100%

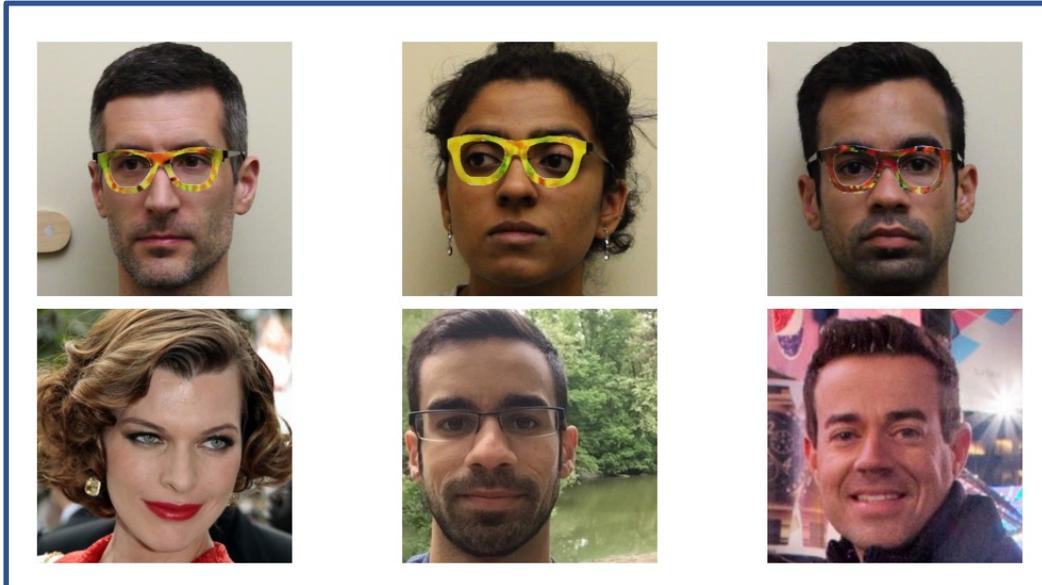
# Motivation for Adversarial Defense Research

## Hackers can trick a Tesla into accelerating by 50 miles per hour

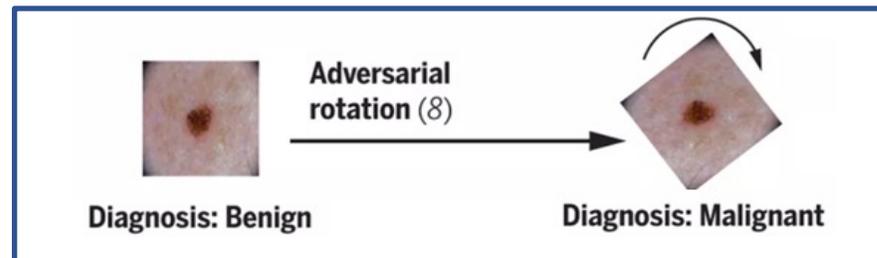
A two inch piece of tape fooled the Tesla's cameras and made the car quickly and mistakenly speed up.



<https://www.technologyreview.com/2020/02/19/868188/hackers-can-trick-a-tesla-into-accelerating-by-50-miles-per-hour/>

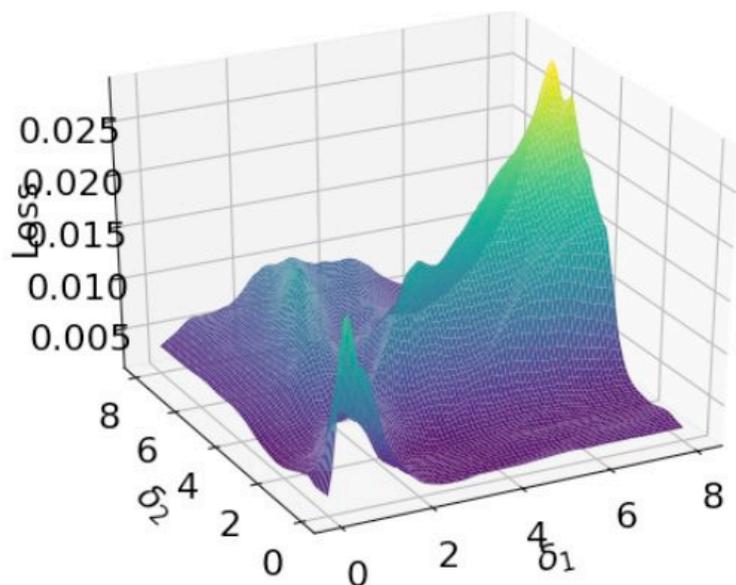


Accessorize to a crime: Real and stealthy attacks on state-of-the-art face recognition, M Sharif, S Bhagavatula, L Bauer, MK Reiter, ACM SIGSAC 2016



<https://www.vox.com/future-perfect/2019/4/8/18297410/ai-tesla-self-driving-cars-adversarial-machine-learning>

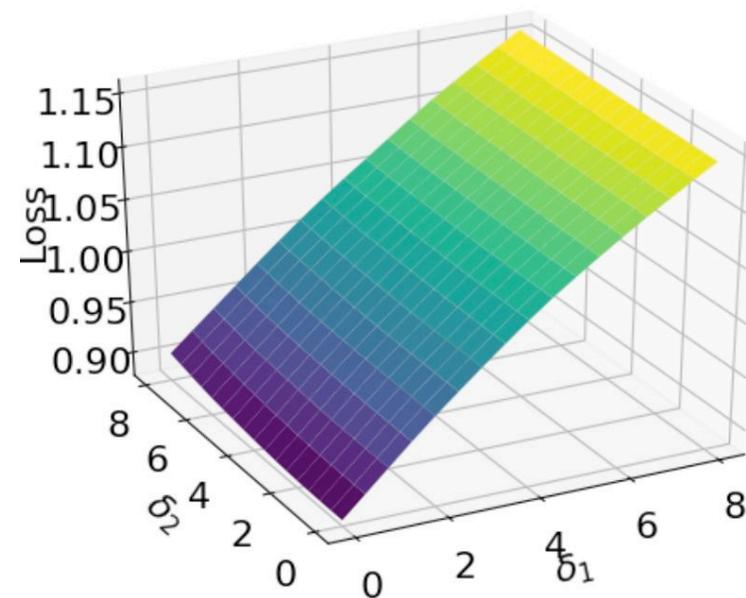
# Defending against Adversarial Attacks



FGSM-AT

## Single-step defenses

- Single-step gradients used for attack generation
- FGSM training <sup>2</sup>
- Low computational cost
- Susceptible to Gradient Masking leading to a false sense of security and training instability
- Suboptimal clean accuracy and robustness



NuAT (Ours)

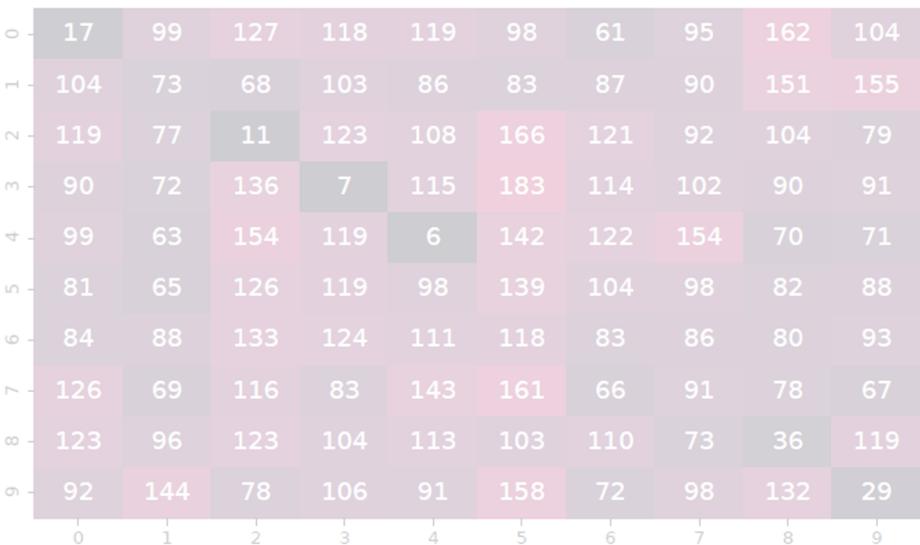
<sup>1</sup> Guo et al. Countering adversarial images using input transformations. ICLR, 2018.

<sup>2</sup> Goodfellow et al. Explaining and harnessing adversarial examples. ICLR, 2015.

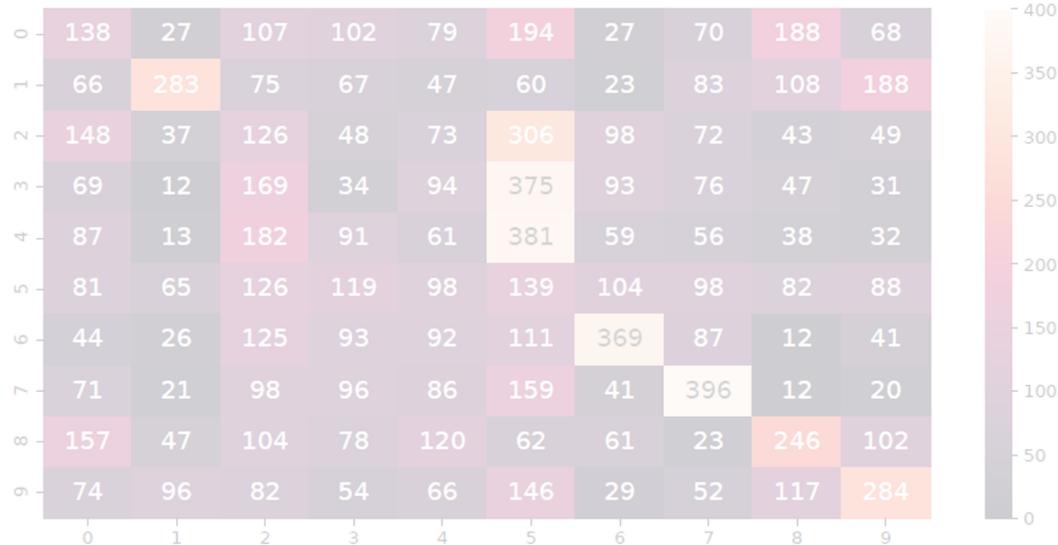
<sup>3</sup> Madry et al. Towards Deep Learning Models Resistant to Adversarial Attacks. ICLR, 2018.

<sup>4</sup> Zhang et al. Theoretically principled trade-off between robustness and accuracy. ICML, 2019.

# NuAT: Nuclear Norm Adversarial Training



Nuclear Norm Attack



Frobenius Norm Attack

# Preliminaries: Nuclear Norm

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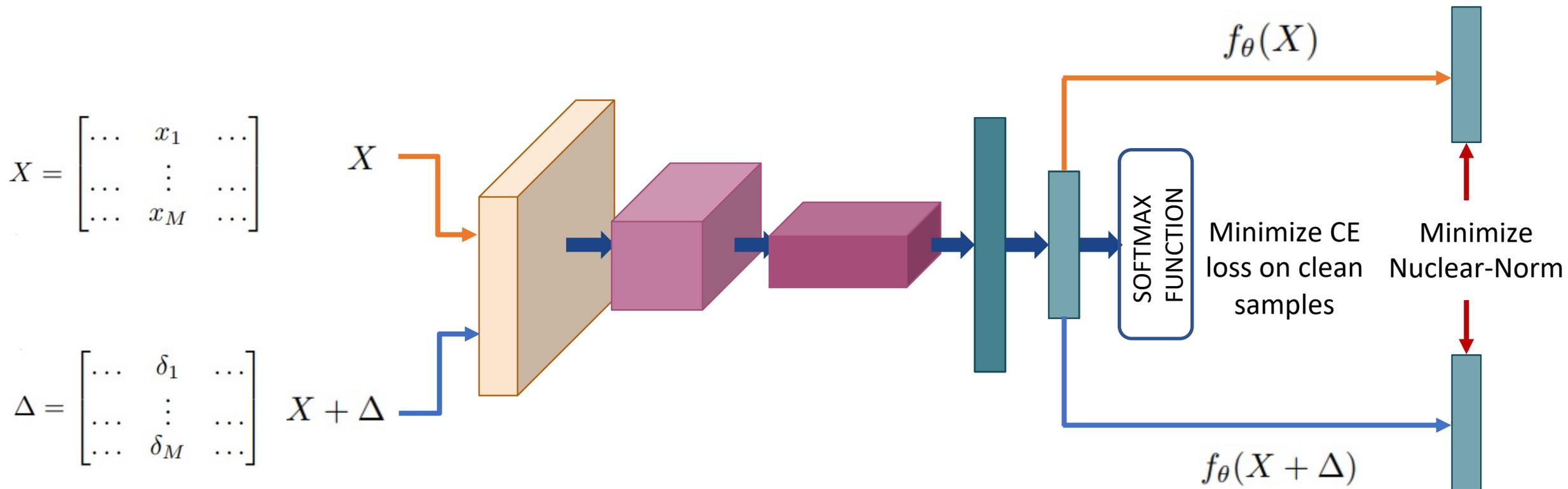
$$\|A\|_* = \sum_{i=1}^{\min\{m,n\}} \sigma_i(A) = \text{trace}(\sqrt{A^*A})$$

- Forms a uniform upper bound of the Frobenius Norm
- Let  $A = U\Lambda V^T$  be the Singular Value Decomposition of A

$$\|A\|_*^2 = \left( \sum_{i=1}^{\rho} \sigma_i \right)^2 = \sum_{i=1}^{\rho} \sigma_i^2 + \sum_{i \neq j} \sigma_i \cdot \sigma_j \geq \sum_{i=1}^{\rho} \sigma_i^2$$

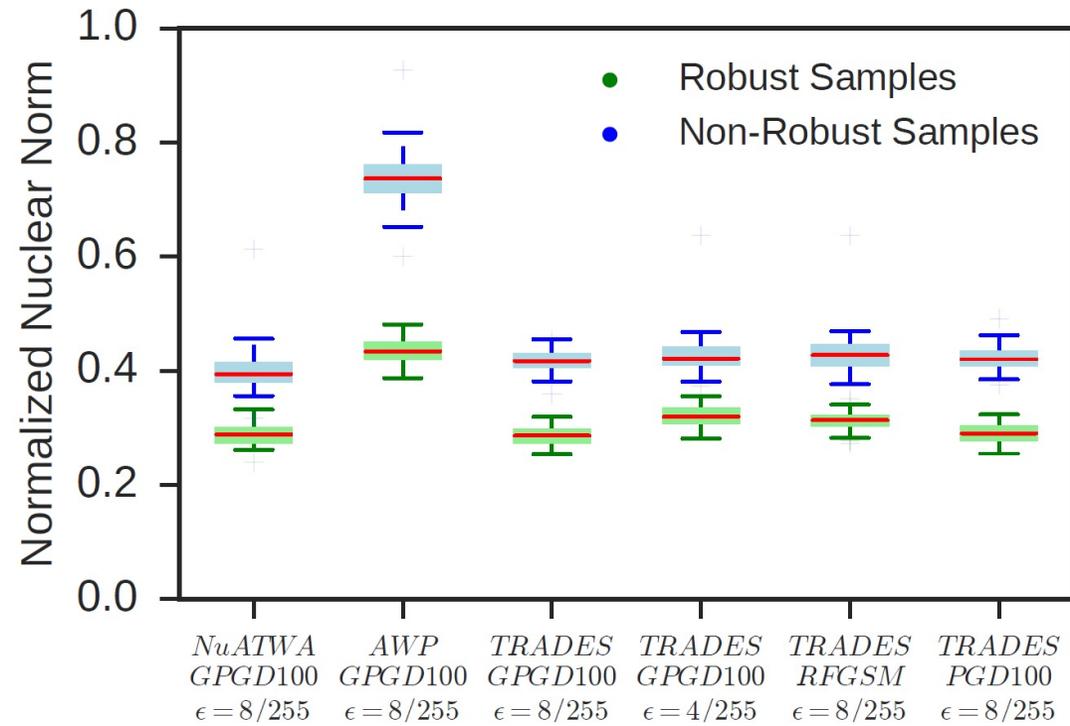
$$\sum_{i=1}^{\rho} \sigma_i^2 = \|\Lambda\|_F^2 = \|U\Lambda\|_F^2 = \|U\Lambda V^T\|_F^2 = \|A\|_F^2$$

# Nuclear Norm Regularization



$$L = \ell_{CE}(f_\theta(X), Y) + \lambda \cdot \|f_\theta(\tilde{X}) - f_\theta(X)\|_*$$

# Nuclear Norm Regularization



# Generation of Nuclear-Norm based attack

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For a training minibatch  $B = \{(x_i, y_i)\}_{i=1}^M$ ,

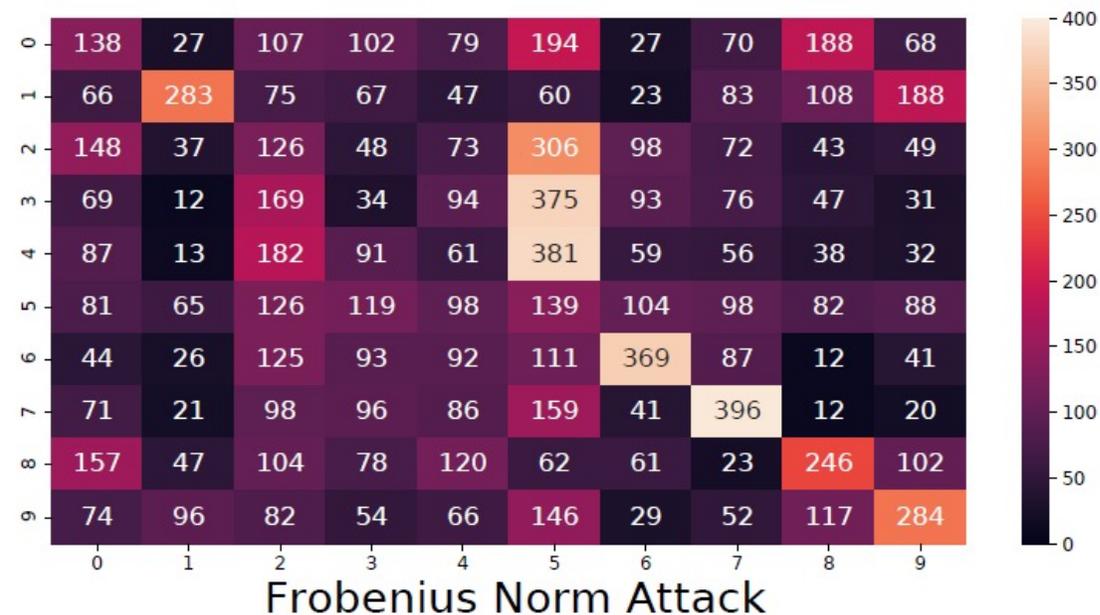
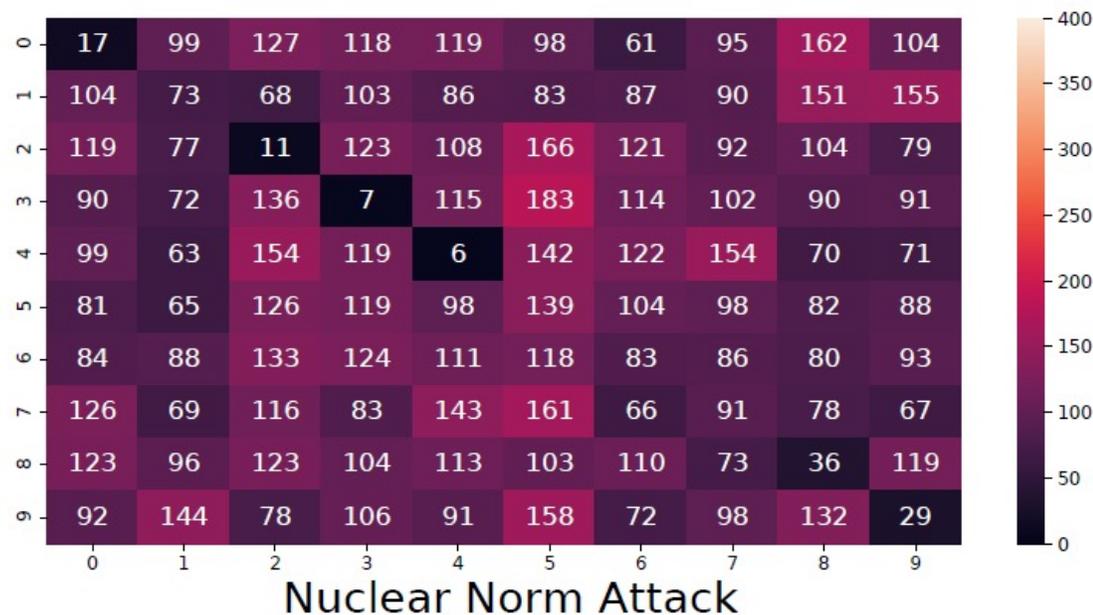
$$X = \begin{bmatrix} \dots & x_1 & \dots \\ \dots & \vdots & \dots \\ \dots & x_M & \dots \end{bmatrix}, Y = \begin{bmatrix} y_1 \\ \vdots \\ y_M \end{bmatrix}, \Delta = \begin{bmatrix} \dots & \delta_1 & \dots \\ \dots & \vdots & \dots \\ \dots & \delta_M & \dots \end{bmatrix}, \delta_i \sim \text{Bern}^d(-\alpha, \alpha)$$

$$\tilde{L} = \ell_{CE}(f_\theta(X + \Delta), Y) + \lambda \cdot \|f_\theta(X + \Delta) - f_\theta(X)\|_*$$

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \tilde{L})$$

$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon), \quad \tilde{X} = \text{Clamp}(X + \Delta, 0, 1)$$

# Diversity of Nuclear-Norm Attack



Confusion Matrices for predictions against adversarial attacks generated by maximizing the Nuclear norm and Frobenius norm of a matrix respectively. These are obtained for a normally trained model with ResNet-18 architecture on CIFAR-10 dataset.

# NuAT: Nuclear-Norm Adversarial Training

## Single-step Nuclear Norm based attack

$$\tilde{L} = \ell_{CE}(f_{\theta}(X + \Delta), Y) + \lambda \cdot \|f_{\theta}(X + \Delta) - f_{\theta}(X)\|_*$$

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \tilde{L})$$

$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon), \quad \tilde{X} = \text{Clamp}(X + \Delta, 0, 1)$$

## Adversarial Training

$$L = \ell_{CE}(f_{\theta}(X), Y) + \lambda \cdot \|f_{\theta}(\tilde{X}) - f_{\theta}(X)\|_*$$

## Parameter update

$$\theta = \theta - \frac{1}{M} \cdot \eta \cdot \nabla_{\theta} L$$

Repeat for  $I$  iterations

# NuAT-WA

## Single-step Nuclear Norm based attack

$$\tilde{L} = \ell_{CE}(f_{\theta}(X + \Delta), Y) + \lambda \cdot \|f_{\theta}(X + \Delta) - f_{\theta}(X)\|_*$$

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \tilde{L})$$

$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon), \quad \tilde{X} = \text{Clamp}(X + \Delta, 0, 1)$$

## Adversarial Training

$$L = \ell_{CE}(f_{\theta}(X), Y) + \lambda \cdot \|f_{\theta}(\tilde{X}) - f_{\theta}(X)\|_*$$

## Parameter update

$$\theta = \theta - \frac{1}{M} \cdot \eta \cdot \nabla_{\theta} L, \quad \omega = (1 - \tau) * \theta + \tau * \omega$$

Repeat for  $l$  iterations

# NuAT2: 2-step Adversarial Training

## First attack step

$$\tilde{L} = \ell_{CE}(f_{\theta}(X + \Delta), Y) + \lambda \cdot \|f_{\theta}(X + \Delta) - f_{\theta}(X)\|_*$$

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \tilde{L})$$

$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon), \quad \tilde{X} = \text{Clamp}(X + \Delta, 0, 1)$$

## Second attack step

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \ell_{CE}(f_{\theta}(X + \Delta), Y))$$

$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon), \quad \tilde{X} = \text{Clamp}(X + \Delta, 0, 1)$$

# NuAT2-WA

First attack step from EMA (Exponential moving average) model

$$\tilde{L} = \ell_{CE}(f_{\omega}(X + \Delta), Y) + \lambda \cdot \|f_{\omega}(X + \Delta) - f_{\omega}(X)\|_*$$

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \tilde{L})$$

$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon)$$

Second attack step from the model being trained

$$\Delta = \Delta + \varepsilon \cdot \text{sign}(\nabla_{\Delta} \ell_{CE}(f_{\theta}(X + \Delta), Y))$$

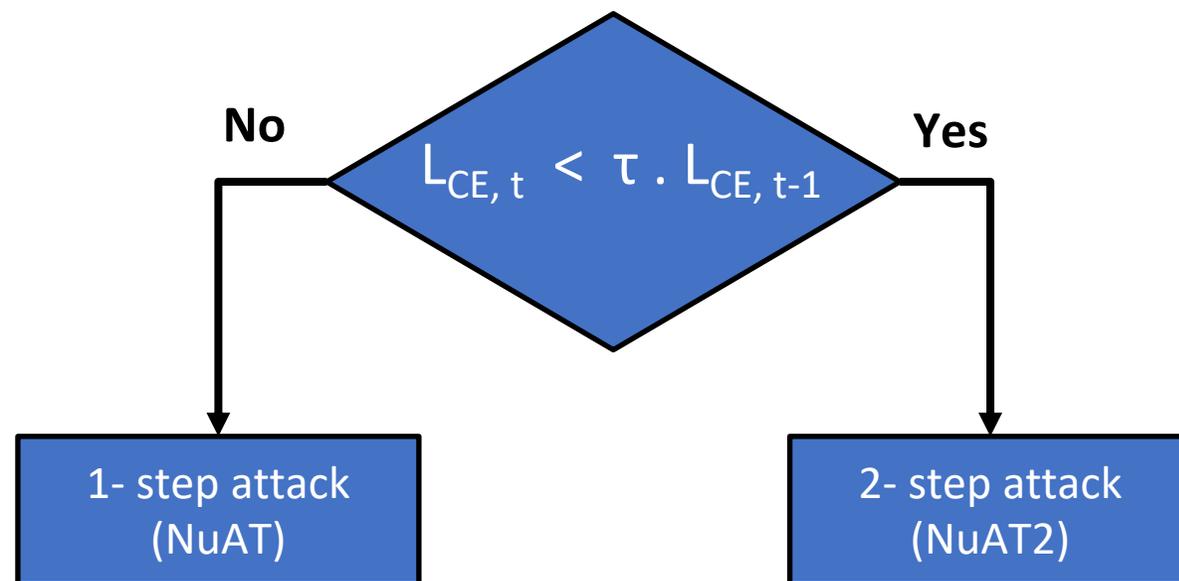
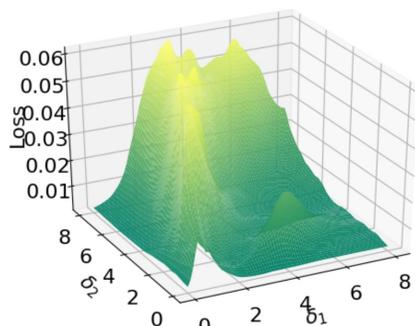
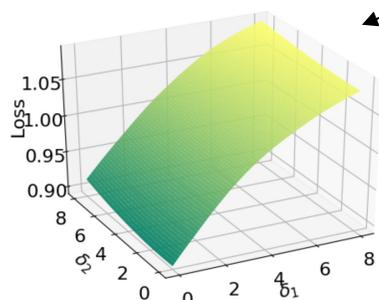
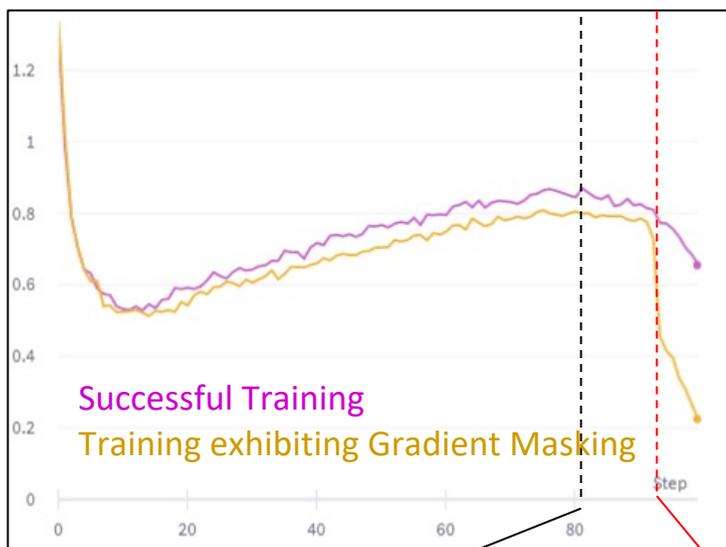
$$\Delta = \text{Clamp}(\Delta, -\varepsilon, \varepsilon), \quad \tilde{X} = \text{Clamp}(X + \Delta, 0, 1)$$

Update weights of EMA model

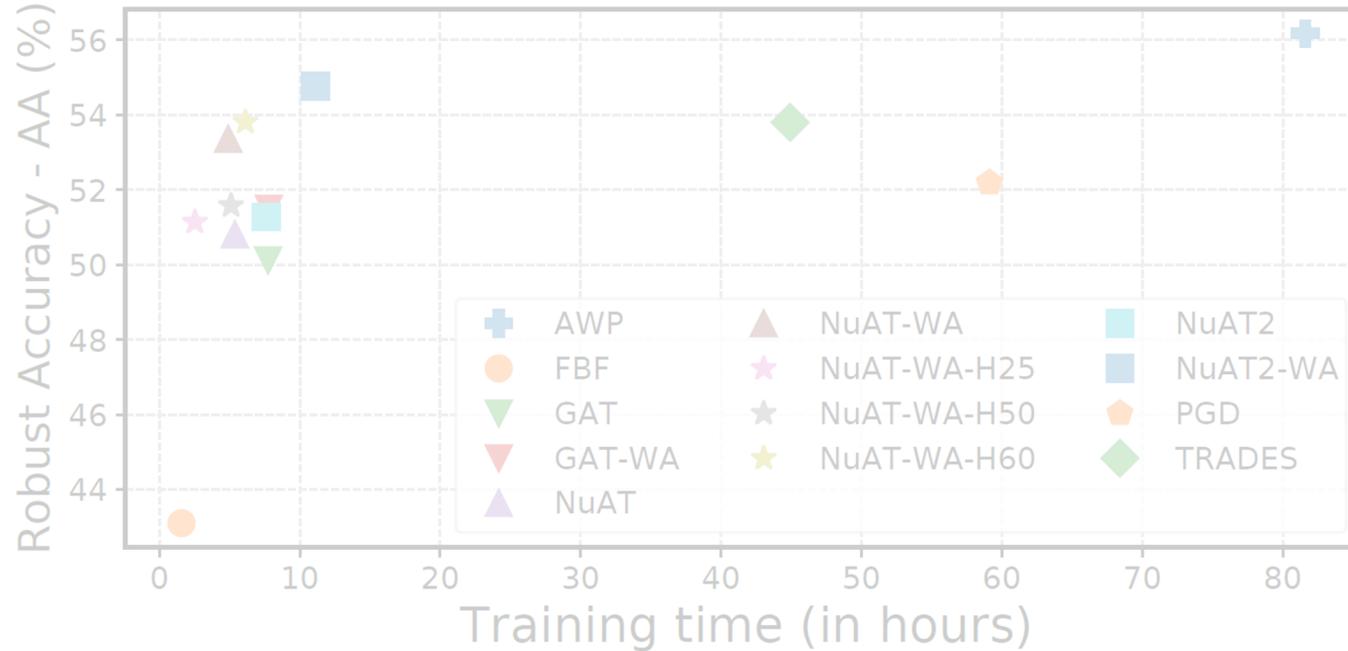
$$\omega = (1 - \tau) * \theta + \tau * \omega$$

# Hybrid Adversarial Training (NuAT-H)

Cross-Entropy Loss (Clean)



# Experiments and Analysis



# Results on CIFAR-10 (ResNet-18)

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Method	# AT steps	Clean Acc	PGD (n-steps)		GAMA 100	AA (v1)
			20	500		
Normal	0	92.30	0.00	0.00	0.00	0.00
FGSM-AT	1	<b>92.89</b>	0.00	0.00	0.00	0.00
RFGSM-AT	1	89.24	35.02	34.17	33.87	33.16
ATF	1	71.77	43.53	43.52	40.34	40.22
FBF	1	82.83	46.41	46.03	43.85	43.12
R-MGM	1	82.29	46.23	45.79	44.06	43.72
GAT	1	80.49	53.13	53.08	47.76	47.30
GAT-WA	1	79.47	<b>54.40</b>	<b>54.37</b>	49.00	48.28
NuAT (Ours)	1	81.01	53.30	52.97	49.46	49.24
NuAT-WA (Ours)	1	82.21	54.14	53.95	<b>50.97</b>	<b>50.75</b>
PGD-AT	10	81.12	53.08	52.89	49.08	48.75
TRADES	10	81.47	52.73	52.61	49.22	49.06
TRADES-WA	10	80.19	52.98	52.88	49.49	49.39
AWP	11	<b>81.99</b>	<b>55.60</b>	<b>55.52</b>	<b>51.65</b>	<b>51.45</b>

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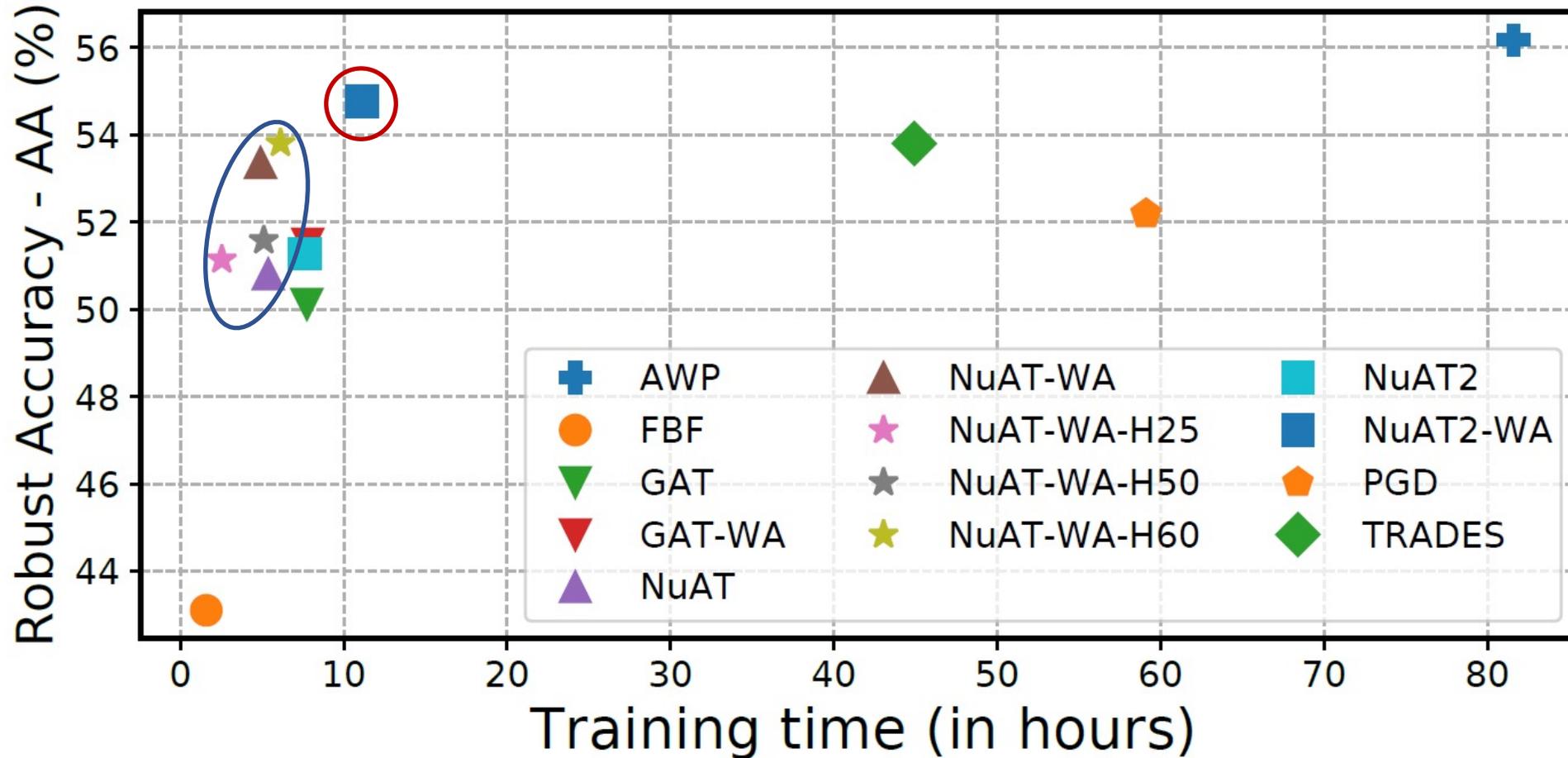
# Results on CIFAR-10 (WideResNet-34-10)

Method	AT-steps (epochs)	Clean Acc	PGD 100	GAMA 100	AA (v2)
FBF	1 (30)	82.05	45.57	43.13	43.10
GAT	1 (85)	85.17	55.12	50.76	50.12
GAT-WA	1 (85)	84.61	57.28	52.19	51.50
Variants of NuAT ( <b>Ours</b> )					
NuAT	1 (55)	85.30	53.82	51.34	50.81
NuAT-H	1 (50 <sub>+5</sub> )	84.58	54.89	51.93	51.58
NuAT-WA	1 (50)	85.29	56.21	53.73	53.36
NuAT-WA-H	1 (25 <sub>+2</sub> )	81.98	54.82	51.41	51.14
NuAT-WA-H	1 (60 <sub>+6</sub> )	84.93	57.51	54.28	53.81
NuAT2	2 (55)	84.76	54.50	51.99	51.27
NuAT2-WA	2 (80)	<b>86.32</b>	<b>57.74</b>	<b>55.08</b>	54.76
TRADES	10 (110)	85.48	56.35	53.88	53.80
PGD	10 (200)	<b>86.07</b>	55.74	52.70	52.19
AWP	11 (200)	85.36	<b>59.13</b>	<b>56.35</b>	<b>56.17</b>

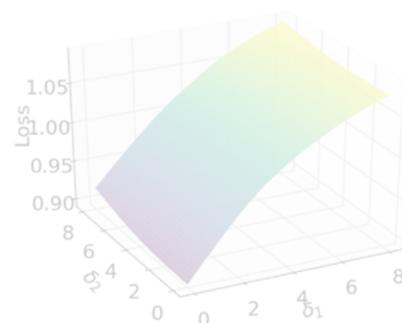
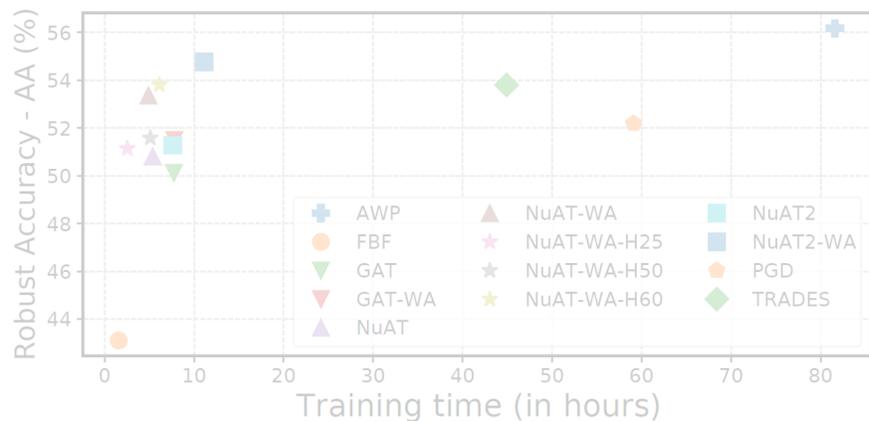
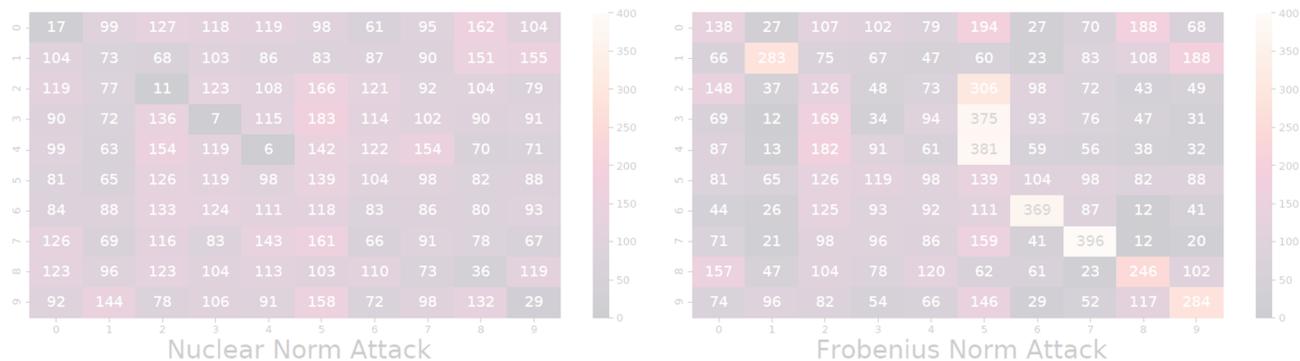
# Results across different datasets

	CIFAR-10				ImageNet-100				MNIST			
	Clean Acc	PGD 500	GAMA 100	AA (v1)	Clean Acc	PGD 500	GAMA 100	AA (v1)	Clean Acc	PGD 500	GAMA 100	AA (v1)
Normal	<b>92.30</b>	0.00	0.00	0.00	<b>81.44</b>	0.00	0.00	0.00	99.20	0.00	0.00	0.00
RFGSM-AT	89.24	34.17	33.87	33.16	78.46	13.88	13.38	12.96	<b>99.37</b>	85.32	83.64	82.28
FBF	82.83	46.03	43.85	42.37	57.32	27.22	21.78	20.66	99.30	91.37	87.27	79.02
R-MGM	82.29	45.79	44.06	43.72	64.84	31.68	27.46	27.68	99.04	90.56	88.13	86.21
GAT	80.49	53.08	47.76	47.30	67.98	37.46	29.30	28.92	<b>99.37</b>	94.44	92.96	90.62
NuAT (Ours)	81.01	52.97	49.46	49.24	69.00	37.60	32.38	31.96	<b>99.37</b>	96.24	94.65	<b>93.11</b>
NuAT-WA (Ours)	82.21	<b>53.95</b>	<b>50.97</b>	<b>50.75</b>	68.40	<b>38.68</b>	<b>33.22</b>	<b>33.16</b>	99.36	<b>96.30</b>	<b>94.70</b>	93.10
TRADES	81.47	52.61	49.22	49.06	62.88	37.24	31.44	31.66	99.32	93.40	92.74	92.19
PGD-AT	81.12	52.89	49.08	48.75	68.62	36.56	32.24	32.98	99.27	93.98	92.80	91.81

# Efficiency and Effectiveness of NuAT



# Summary



# Summary

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- Nuclear Norm Adversarial Training (NuAT) to improve adversarial robustness at low computational cost
- **NuAT**: SOTA across various single-step defenses
- **NuAT2**: Achieves results better than some multi-step (10-step) defenses (TRADES, PGD-AT), and comparable to the SOTA defense, TRADES-AWP
- **NuAT-H**: Bridges the computation-accuracy trade-off between NuAT and NuAT2
- Scales to large network capacities such as WideResNet
- Scales to large datasets such as ImageNet-100.

# Thank You!



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