# **Test-Time Classifier Adjustment Module for Model-Agnostic Domain Generalization**

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# **Robustness of DNNs and Cybersecurity**

- DNNs become an important component of intelligent system.
  - Preventing catastrophic failure of DNNs become important topic.
- Its behavior under distribution shift might cause security issue.
  - Adversarial attack
  - Weather change in autonomous driving

– etc

### **Domain Generalization (DG)**



Domain Generalization is a common benchmark setup to the robustness of a predictor to distribution shift (such as variation in light, weather, or object backgrounds)

# **Existing Domain Generalization Algorithm**

#### Training



#### Domain Invariant feature learning

- Reduce domain gaps on a space of latent representations.
- DANN, CORAL, MMD, etc.

#### Meta learning

- Learn how to regularize the model to improve the robustness.
- MLDG etc.

#### Many others

- **IRM** regularize gradient norm penalty.
- **Domain Mixup** implicitly enhance domain invariance using data augmentation.

#### ... But ERM is Often Better than DG methods [Gulrajani+ICLR2011]

Table 1: Our ERM baseline outperforms the state-of-the-art in terms of average domain generalizatic performance, even when picking the best competitor per dataset.

Dataset / algorithm	DG accuracy per test domain					Average	
Rotated MNIST (full)	0°	$15^{\circ}$	$30^{\circ}$	$45^{\circ}$	60°	$75^{\circ}$	
DIVA (Ilse et al., 2019) Our ERM	95.3 95.9	98.7 98.9	98.7 98.8	98.4 98.9	97.7 98.9	94.5 96.4	97.2 <b>98.0</b>
VLCS	С	L	S	V			
G2DM (Albuquerque et al., 2019) Our ERM	95.5 97.7	67.6 64.3	69.4 73.4	71.1 74.6			75.9 <b>77.5</b>
PACS	А	С	Р	S			
RSC (Huang et al., 2020) Our ERM	87.9 84.7	82.1 80.8	97.9 97.2	83.4 79.3			<b>87.8</b> 85.5
OfficeHome	Α	С	Р	R			
DDAIG (Zhou et al., 2020) Our ERM	59.2 61.3	52.3 52.4	74.6 75.8	76.0 76.6			65.5 <b>66.5</b>
All datasets							
Best SOTA competitor Our ERM							81.6 <b>81.9</b>

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## **Proposal: Test-Time Adaptation for DG**



Research Question: How can we use off-the-shelf data available at test-time to correlate its prediction by itself?

# **SGD during Test-Time is not Desirable**

- Natural way to achieve the goal is to use SGD at test-time.
  - SHOT [Liang+2020] and Tent [Wang+2021] updates parameters to minimize prediction entropy.
- Using SGD during test-time is not desirable.
  - (1) It harm inference throughput.
  - (2) It can lead catastrophic failure.
- Tent [Wang+2021] avoid the second issue by only updating small portion of parameters (BN layer).
  - But many recent architecture (BiT, ViT, and MLP-Mixer) does not employ BN.

# Proposal: Test-Time Template Adjuster (T3A)



#### (1) Pseudo Prototype

Update templates using pseudo label and intermediate features.



*L<sup>n</sup>*: History of *c<sup>n</sup>*: Template features  $\hat{y} = k$  (prototype) of class k

#### (2) Prototypical Classification Classify each sample based on its distance to the pseudo-prototype

*This procedure will be repeated every time the model encounter new examples* <sup>8</sup>

## **T3A Implicitly Reduce Prediction Entropy**



## **Experimental Setup**

- Dataset
  - VLCS, PACS, OfficeHome, and TerraIncognita
- Experimental procedure strictly follows DomainBed [Gulrajani+ICLR2011]
  - Training-domain validation for selecting hyperparameters
  - All experiments repeat 3 times with different seeds

#### **Results: Comparison to DG and Tent**

Table 1: Domain generalization accuracy for all datasets and algorithms. Bold type indicates performance improvement from the base model, and \* indicates statistical significance in one-sided paired t-test (\*\* indicates  $p \le 0.01$ , \* indicates  $p \le 0.05$ ).

	Algorithm	VLCS	PACS	OfficeHome	Terra	Avg	
	ERM	$77.5\pm0.4$	$85.5\pm0.2$	$66.5\pm0.3$	$46.1\pm1.8$	69.0	
	IRM	$78.5 \pm 0.5$	$83.5 \pm 0.8$	$64.3 \pm 2.2$	$47.6\pm0.8$	68.5	
	GroupDRO	$76.7 \pm 0.6$	$84.4 \pm 0.8$	$66.0 \pm 0.7$	$43.2 \pm 1.1$	67.6	
	Mixup	$77.4 \pm 0.6$	$84.6 \pm 0.6$	$68.1 \pm 0.3$	$47.9 \pm 0.8$	69.5	
	MLDG	$77.2 \pm 0.4$	$84.9 \pm 1.0$	$66.8 \pm 0.6$	$47.7 \pm 0.9$	69.2	
	CORAL	$78.8 \pm 0.6$	$86.2 \pm 0.3$	$68.7 \pm 0.3$	$47.6 \pm 1.0$	70.3	
	MMD	$77.5 \pm 0.9$	$84.6 \pm 0.5$	$66.3 \pm 0.1$	$42.2 \pm 1.6$	67.7	T2A > - DC
20241	DANN	$78.6 \pm 0.4$	$83.6 \pm 0.4$	$65.9 \pm 0.6$	$46.7 \pm 0.5$	68.7	IJA > = DU
+2021]	CDANN	$77.5 \pm 0.1$	$82.6 \pm 0.9$	$65.8 \pm 1.3$	$45.8 \pm 1.6$	67.9	
-	MTL	$77.2 \pm 0.4$	$84.6 \pm 0.5$	$66.4 \pm 0.5$	$45.6 \pm 1.2$	68.5	
	SagNet	$77.8 \pm 0.5$	$86.3 \pm 0.2$	$68.1 \pm 0.1$	$48.6\pm1.0$	70.2	
	ARM	$77.6 \pm 0.3$	$85.1 \pm 0.4$	$64.8 \pm 0.3$	$45.5 \pm 0.3$	68.3	
	VREx	$78.3 \pm 0.2$	$84.9 \pm 0.6$	$66.4 \pm 0.6$	$46.4 \pm 0.6$	69.0	
	RSC	$77.1 \pm 0.5$	$85.2 \pm 0.9$	$65.5 \pm 0.9$	$46.6\pm1.0$	68.6	
ТЭЛ	<b>ERM</b> <sup>†</sup>	$77.7 \pm 0.1$	$83.6 \pm 0.9$	$66.4 \pm 0.3$	$46.5 \pm 0.3$	68.6	
IJA	+T3C (Ours)	$80.0 \pm 0.2$	$\textbf{85.3}\pm0.6$	$68.3 \pm 0.1$	<b>47.0</b> ± 0.6	70.1**	I 3A > ERM
ont)	+Tent-BN	$68.2 \pm 0.2$	84.8 ± 0.5	<b>67.0</b> ± 0.4	$44.7 \pm 0.3$	66.2	
	+Tent-C	$77.0\pm0.4$	$82.3\pm1.2$	$65.7\pm0.2$	$45.5\pm0.4$	67.6	13A > 11A
<b>T</b> 2 4	CORAL <sup>†</sup>	$78.6 \pm 0.5$	$84.2 \pm 0.3$	$68.3 \pm 0.1$	$48.1 \pm 1.3$	69.8	
· 13A	+T3C (Ours)	<b>79.5</b> ± 0.5	85.6 ± 0.2	<b>69.2</b> ± 0.2	$47.3 \pm 0.7$	70.4*	TO A CODA
	+Tent-BN	$71.4 \pm 0.7$	85.6 ± 0.2	<b>69.2</b> ± 0.2	$46.5 \pm 0.5$	68.2	13A > CORAI
	+Tent-C	$78.1\pm0.5$	$83.7\pm0.4$	$68.2 \pm 0.1$	$47.8\pm1.1$	69.5	

DG [Gulrajani+2021]

> ERM + T3A (and Tent)

CORAL + T3A (and Tent)

#### **Results: Performance on Various Backbone Networks**

Table 2: Domain generalization accuracy with different backbone networks. T3A increases the performance agnostic to backbone networks. Note that, this experiments is conducted only on the default hyperparameters of ERM. Bold type indicates performance improvement, and \* indicates statistical significance in paired t-test (\*\* indicates  $p \le 0.01$ , \* indicates  $p \le 0.05$ ).

		Models	VLCS	PACS	OfficeHome	Terra	Avg
	٢	resnet18 +T3A	$\begin{array}{c} 73.2\pm0.9\\ \textbf{76.5}\pm\textbf{0.9} \end{array}$	$\begin{array}{c} 80.3\pm0.4\\ \textbf{81.7}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 55.7 \pm 0.2 \\ \textbf{57.0} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 40.7\pm0.3\\ \textbf{41.6}\pm\textbf{0.5} \end{array}$	62.5 64.2*
		resnet50 +T3A	$\begin{array}{c} \textbf{75.5} \pm 0.1 \\ \textbf{78.3} \pm \textbf{0.7} \end{array}$	$\begin{array}{c} 83.9\pm0.2\\ \textbf{84.5}\pm\textbf{0.3} \end{array}$	$\begin{array}{c} 64.4 \pm 0.2 \\ 66.5 \pm 0.2 \end{array}$	$\begin{array}{c} 45.4\pm1.2\\ \textbf{45.9}\pm\textbf{0.5} \end{array}$	67.3 68.8*
Convolution	$\left\{ \right.$	BiT-M-R50x3 +T3A	$\begin{array}{c} \textbf{76.7} \pm \textbf{0.1} \\ \textbf{79.7} \pm \textbf{0.3} \end{array}$	$\begin{array}{c} 84.4\pm1.2\\ \textbf{85.4}\pm\textbf{0.9} \end{array}$	$\begin{array}{c} 69.2\pm0.6\\ \textbf{71.7}\pm\textbf{0.6} \end{array}$	$\begin{array}{c} 52.5 \pm 0.3 \\ 52.2 \pm 0.6 \end{array}$	70.7 72.3*
		BiT-M-R101x3 +T3A	$\begin{array}{c} 75.0\pm0.6\\ \textbf{78.6}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 84.0\pm0.7\\ \textbf{85.4}\pm\textbf{0.5} \end{array}$	$\begin{array}{c} 67.7 \pm 0.5 \\ \textbf{69.9} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 47.8\pm0.8\\ \textbf{48.1}\pm\textbf{0.8} \end{array}$	68.6 70.5*
	L	BiT-M-R152x2 +T3A	$\begin{array}{c} \textbf{76.7} \pm \textbf{0.3} \\ \textbf{79.1} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 85.2\pm0.1\\ \textbf{86.4}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 71.3 \pm 0.6 \\ \textbf{73.2} \pm \textbf{0.5} \end{array}$	$\begin{array}{c} 51.4 \pm 0.6 \\ 50.9 \pm 0.7 \end{array}$	71.1 72.4*
ViT		ViT-B16 +T3A	$\begin{array}{c} 79.2\pm0.3\\ \textbf{80.2}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 85.7\pm0.1\\ \textbf{86.0}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 78.4 \pm 0.3 \\ \textbf{78.9} \pm \textbf{0.3} \end{array}$	$\begin{array}{c} 41.8\pm0.6\\ \textbf{42.5}\pm\textbf{0.7} \end{array}$	71.3 71.9*
	ſ	ViT-L16 +T3A	$\begin{array}{c} 78.2\pm0.5\\ \textbf{79.0}\pm\textbf{0.6} \end{array}$	$\begin{array}{c} 84.6\pm0.5\\ \textbf{85.5}\pm\textbf{0.6} \end{array}$	$\begin{array}{c} 78.0\pm0.1\\ \textbf{78.7}\pm\textbf{0.2} \end{array}$	$\begin{array}{c} 42.7\pm1.9\\ \textbf{45.3}\pm\textbf{0.4} \end{array}$	70.9 72.1**
Unbrid	ſ	DeiT +T3A	$\begin{array}{c} \textbf{79.3} \pm \textbf{0.4} \\ \textbf{81.3} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 87.8\pm0.5\\ \textbf{89.5}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 76.6 \pm 0.3 \\ \textbf{78.3} \pm \textbf{0.2} \end{array}$	$\begin{array}{c} 50.0\pm0.2\\\textbf{50.1}\pm\textbf{0.2}\end{array}$	73.4 74.8*
пурта	ſ	HViT +T3A	$\begin{array}{c} \textbf{79.2} \pm \textbf{0.5} \\ \textbf{81.0} \pm \textbf{0.1} \end{array}$	$\begin{array}{c} 89.7\pm0.4\\ \textbf{90.4}\pm\textbf{0.5} \end{array}$	$\begin{array}{c} 80.0\pm0.2\\ \textbf{80.5}\pm\textbf{0.2} \end{array}$	$\begin{array}{c} 51.4\pm0.9\\\textbf{52.3}\pm\textbf{1.0}\end{array}$	75.1 76.1*
MLP-Mixer	{	Mixer-L16 +T3A	$\begin{array}{c} \textbf{76.4} \pm \textbf{0.2} \\ \textbf{80.3} \pm \textbf{0.3} \end{array}$	$81.3 \pm 1.0$ $83.0 \pm 0.8$	$\begin{array}{c} 69.4 \pm 1.6 \\ \textbf{72.3} \pm \textbf{1.8} \end{array}$	$\begin{array}{c} 37.1\pm0.4\\ \textbf{37.5}\pm\textbf{0.8} \end{array}$	66.1 68.3*

#### **Results: Performance on Various Backbone Networks**

	Models	VLCS	PACS	OfficeHome	Terra	Avg	-
	resnet18 +T3A	$\begin{array}{c} 73.2\pm0.9\\ \textbf{76.5}\pm\textbf{0.9} \end{array}$	$\begin{array}{c} 80.3\pm0.4\\ \textbf{81.7}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 55.7 \pm 0.2 \\ \textbf{57.0} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 40.7\pm0.3\\ \textbf{41.6}\pm\textbf{0.5} \end{array}$	62.5 64.2*	7
	resnet50 +T3A	$\begin{array}{c} \textbf{75.5} \pm 0.1 \\ \textbf{78.3} \pm \textbf{0.7} \end{array}$	$\begin{array}{c} 83.9\pm0.2\\ \textbf{84.5}\pm\textbf{0.3}\end{array}$	$\begin{array}{c} 64.4 \pm 0.2 \\ \textbf{66.5} \pm \textbf{0.2} \end{array}$	$\begin{array}{c} \textbf{45.4} \pm \textbf{1.2} \\ \textbf{45.9} \pm \textbf{0.5} \end{array}$	67.3 68.8*	
	BiT-M-R50x3 +T3A	$\begin{array}{c} \textbf{76.7} \pm \textbf{0.1} \\ \textbf{79.7} \pm \textbf{0.3} \end{array}$	$\begin{array}{c} 84.4\pm1.2\\ \textbf{85.4}\pm\textbf{0.9}\end{array}$	$\begin{array}{c} 69.2\pm0.6\\ \textbf{71.7}\pm\textbf{0.6} \end{array}$	$\begin{array}{c} 52.5 \pm 0.3 \\ 52.2 \pm 0.6 \end{array}$	70.7 72.3*	- Conv
	BiT-M-R101x3 +T3A	$\begin{array}{c} 75.0\pm0.6\\ \textbf{78.6}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 84.0\pm0.7\\ \textbf{85.4}\pm\textbf{0.5}\end{array}$	$\begin{array}{c} 67.7 \pm 0.5 \\ \textbf{69.9} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 47.8\pm0.8\\ \textbf{48.1}\pm\textbf{0.8} \end{array}$	68.6 70.5*	
	BiT-M-R152x2 +T3A	$\begin{array}{c} 76.7\pm0.3\\ \textbf{79.1}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 85.2\pm0.1\\ \textbf{86.4}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 71.3 \pm 0.6 \\ \textbf{73.2} \pm \textbf{0.5} \end{array}$	$\begin{array}{c} 51.4 \pm 0.6 \\ 50.9 \pm 0.7 \end{array}$	71.1 72.4*	
٢	ViT-B16 +T3A	$\begin{array}{c} 79.2\pm0.3\\ \textbf{80.2}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 85.7\pm0.1\\ \textbf{86.0}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 78.4 \pm 0.3 \\ \textbf{78.9} \pm \textbf{0.3} \end{array}$	$\begin{array}{c} 41.8\pm0.6\\ \textbf{42.5}\pm\textbf{0.7}\end{array}$	71.3 71.9*	
1	ViT-L16 +T3A	$\begin{array}{c} 78.2\pm0.5\\ \textbf{79.0}\pm\textbf{0.6} \end{array}$	$\begin{array}{c} 84.6\pm0.5\\ \textbf{85.5}\pm\textbf{0.6} \end{array}$	$\begin{array}{c} 78.0 \pm 0.1 \\ \textbf{78.7} \pm \textbf{0.2} \end{array}$	$\begin{array}{c} 42.7\pm1.9\\ \textbf{45.3}\pm\textbf{0.4} \end{array}$	70.9 72.1**	
٢	DeiT +T3A	$\begin{array}{c} \textbf{79.3} \pm \textbf{0.4} \\ \textbf{81.3} \pm \textbf{0.4} \end{array}$	$\begin{array}{c} 87.8\pm0.5\\ \textbf{89.5}\pm\textbf{0.4} \end{array}$	$\begin{array}{c} 76.6 \pm 0.3 \\ \textbf{78.3} \pm \textbf{0.2} \end{array}$	$\begin{array}{c} 50.0\pm0.2\\ \textbf{50.1}\pm\textbf{0.2} \end{array}$	73.4 74.8*	
1	HViT +T3A	$\begin{array}{c} 79.2\pm0.5\\ \textbf{81.0}\pm\textbf{0.1} \end{array}$	$\begin{array}{c} 89.7\pm0.4\\ \textbf{90.4}\pm\textbf{0.5} \end{array}$	$\begin{array}{c} 80.0 \pm 0.2 \\ \textbf{80.5} \pm \textbf{0.2} \end{array}$	$\begin{array}{c} 51.4\pm0.9\\\textbf{52.3}\pm\textbf{1.0}\end{array}$	75.1 76.1*	
{	Mixer-L16 +T3A	$\begin{array}{c} \textbf{76.4} \pm \textbf{0.2} \\ \textbf{80.3} \pm \textbf{0.3} \end{array}$	$\begin{array}{c} 81.3\pm1.0\\ \textbf{83.0}\pm\textbf{0.8} \end{array}$	$\begin{array}{c} 69.4 \pm 1.6 \\ \textbf{72.3} \pm \textbf{1.8} \end{array}$	$\begin{array}{c} 37.1\pm0.4\\ \textbf{37.5}\pm\textbf{0.8} \end{array}$	66.1 68.3*	} <i>MLP</i>

ViT

Hybrid

MLP-Mixer

# **Concluding Remarks**

- We present T3A, optimization-free test-time adaptation method for improves robustness against domain shift.
  - vs. DG: T3A focus on test-phase
  - vs. Test time adaptation: T3A is optimization-free
- Our method stably improves robustness against domain shift on various backbone networks and various datasets.
- Further results will be presented on paper and poster.
  - Full results for each datasets and backbone networks.
  - Hyperparameter sensitivity.
  - Comparison with various test-time adaptation methods.

# **Comparison with Existing Test-Time Adaptation**

Method	Description	Optimization- free	Model-agnostic
Pseudo Label	Update parameters to minimize cross entropy with pseudo label.		$\checkmark$
SHOT	Update parameters w/ PL loss, entropy,		$\checkmark$
TENT	Update BN transformation parameters to minimize entropy.		
BN Norm	Updates BN statistics during test time.	$\checkmark$	
T3A (Ours)	Replace classifier templates w/ pseudo labeling	$\checkmark$	$\checkmark$