

# Jump Self-attention: Capturing High-order Statistics in Transformers

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# Content

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- Motivation
- The Jump Self-attention
- Experimental Results
- Summary

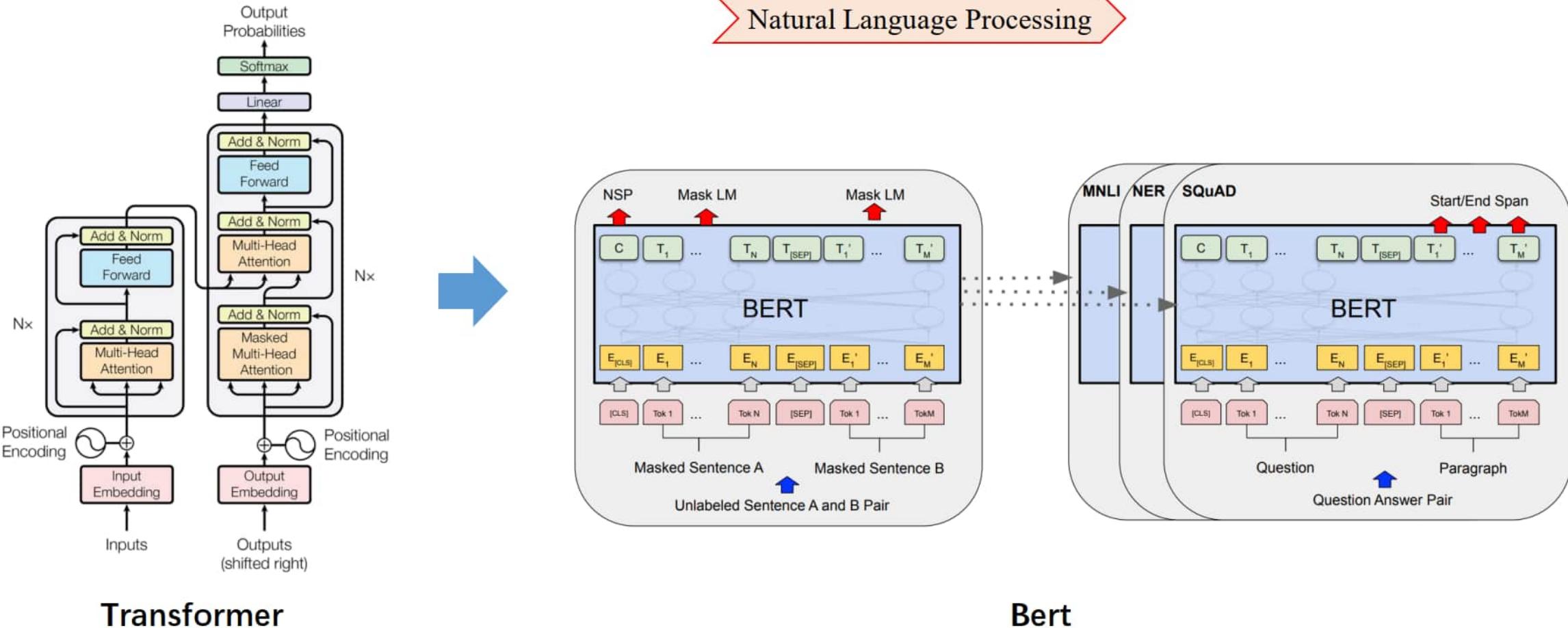
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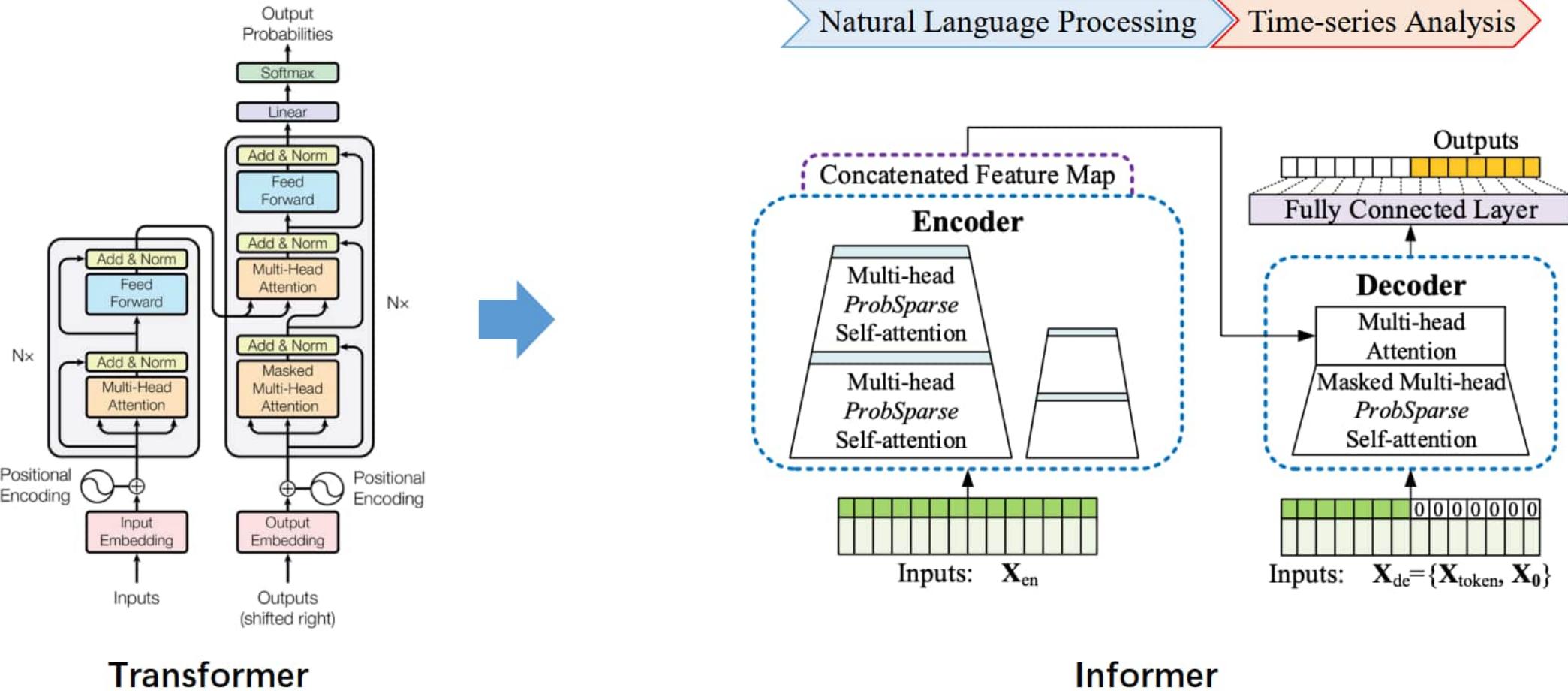
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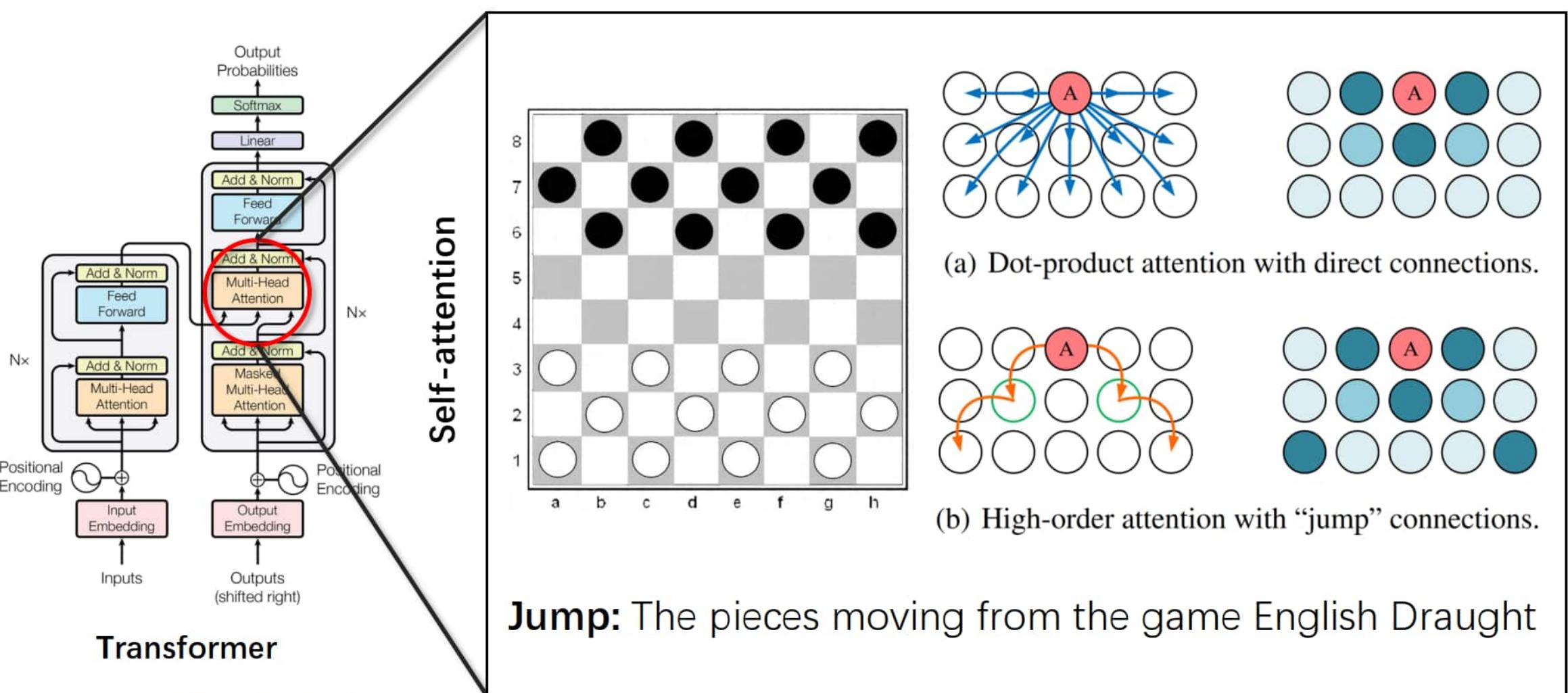
# Transformer models' success



# Transformer models' success



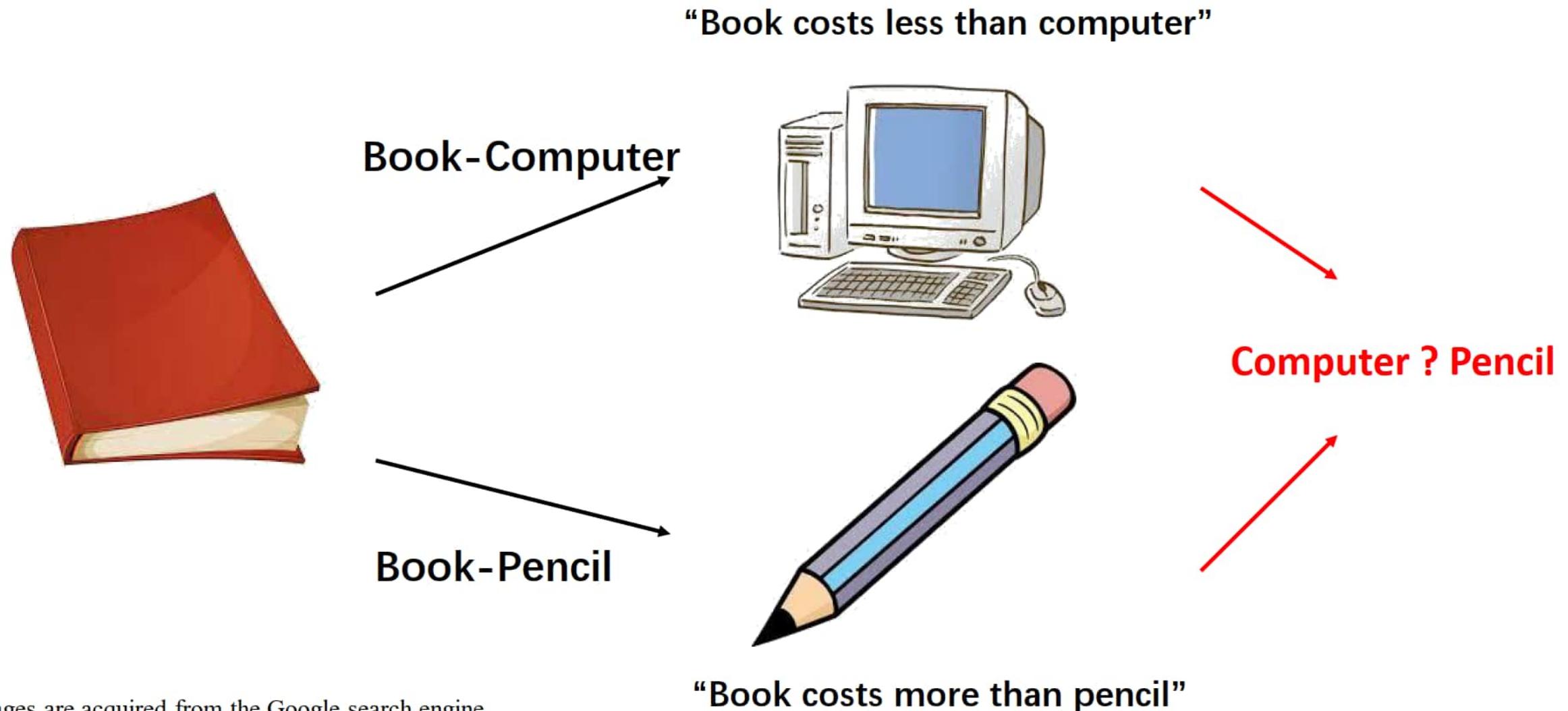
# The core of Transformer models



Images are acquired from the Google search engine.

# The high-order or Jump connections

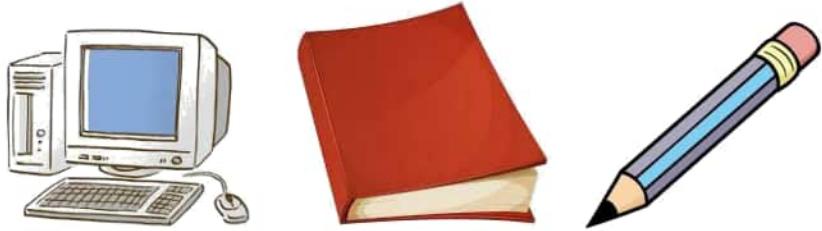
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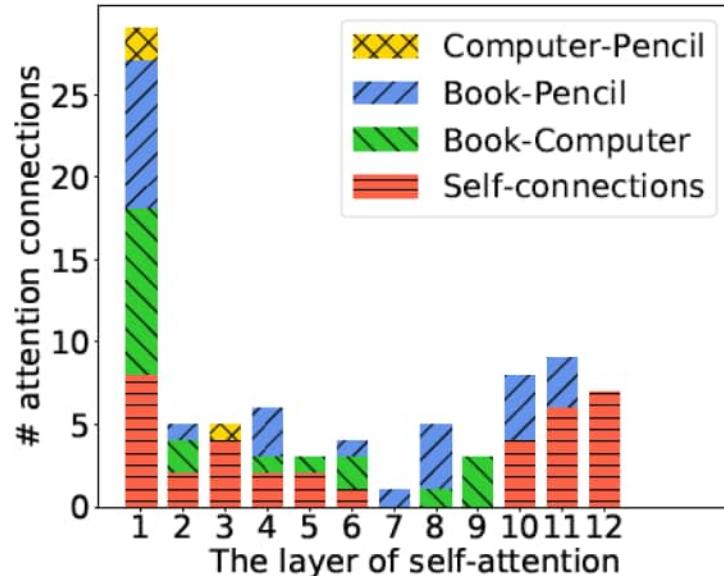
Images are acquired from the Google search engine.

# Rethinking the Canonical Self-attention

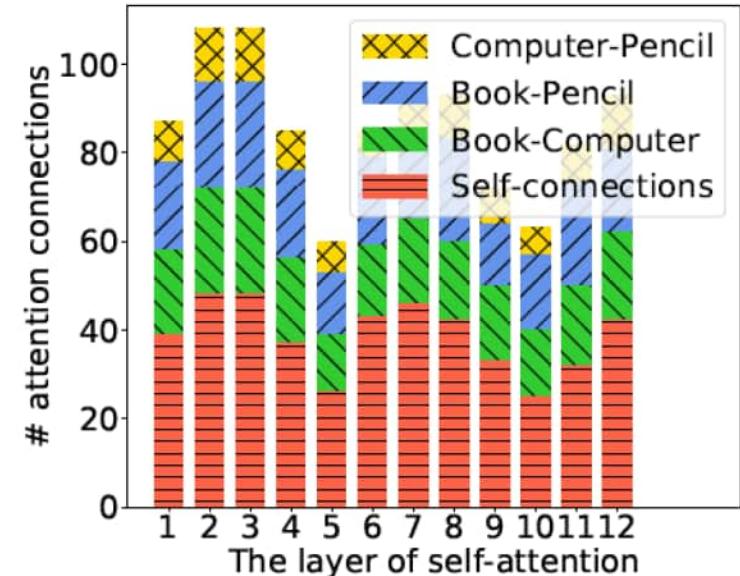
Model: BERT  
with Pre-trained model



Computer      Book      Pencil



Significant connections (15.2%)



Major connections (70.5%)

1. There are many self connections.
2. For the connections between “Computer-Pencil”, it is much less than self connections.
3. The high order attention decrease with the layer stacking in the BERT model.

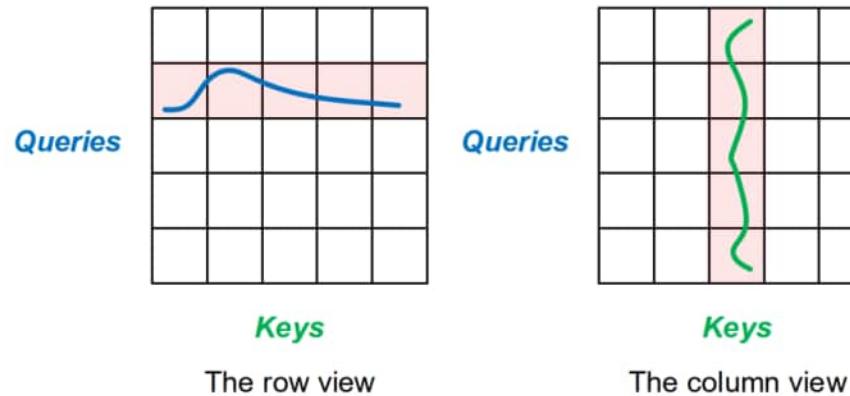
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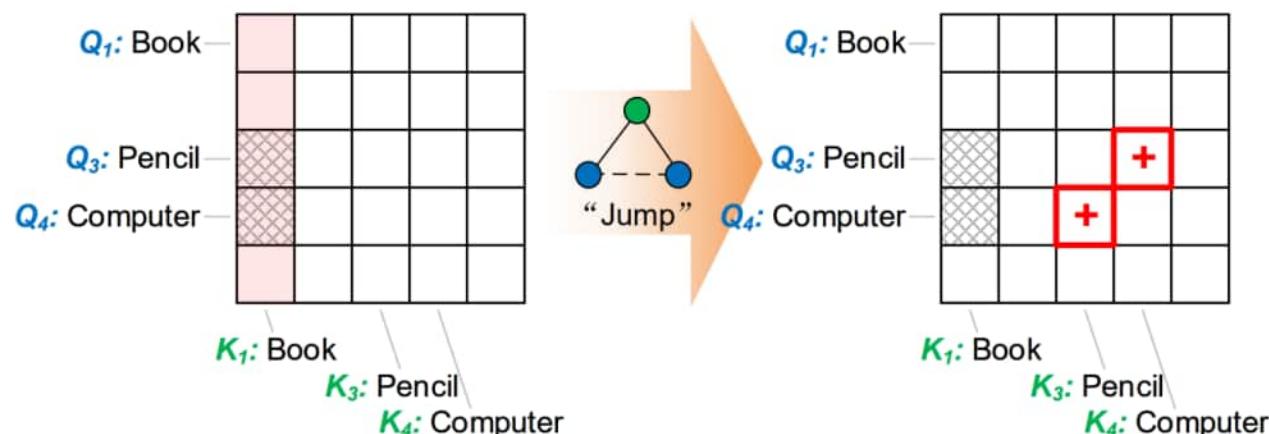
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# The Jump Self-attention



(a) The different views.



(b) The overview of the high-order self-attention.

Under the row view, we build a graph  $G = (V, E)$ .  
Queries compose the node set  $V$  with the row vector of  $S$  as node features, each edge is defined by a adjacency matrix  $A$ :

$$A^{(K_j)} = [U_j - \text{diag}(U)_j]_\rho, \quad \text{where } U_j = \frac{S_{j^\top} \otimes S_{j^\top}}{d}$$

$$A = \frac{1}{L} \sum_j A^{(K_j)}$$

We use GCN to implement the jump:

$$Q' = \hat{A} Q W_Q, \quad K' = \hat{A} K W_K$$

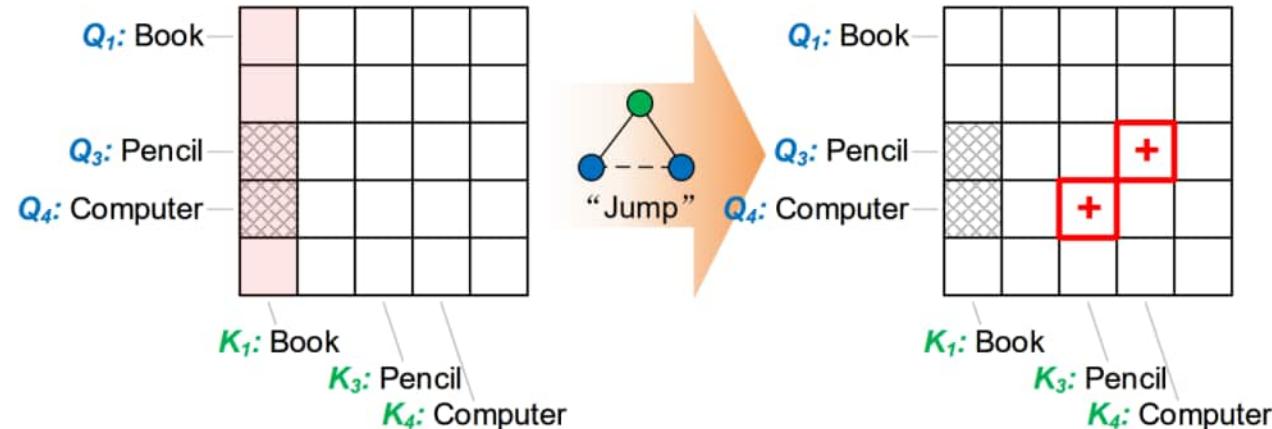
$\tilde{D}$  is a diagonal matrix from the column-sum of  $A$   
The self-attention score will be updated as:

$$S' = Q' K'^\top = \hat{A} X (W_Q W_Q)^\top (W_K W_K)^\top X^\top \hat{A}^\top$$

Then we can define "jump" operation as:  $\Phi(S) = \hat{A} S \hat{A}^\top$   
Thus, the JAT attention is defined:

$$\mathcal{A}(Q, K, V) = \text{softmax} \left( \frac{\Phi(QK^\top)}{\sqrt{d}} \right) V$$

# The efficient Jump Self-attention



(b) The overview of the high-order self-attention.

Thus, the JAT attention is defined:

$$A(Q, K, V) = \text{softmax} \left( \frac{\Phi(QK^T)}{\sqrt{d}} \right) V$$

Using a sparsity measurement to find the most significant keys:

$$M^{(K_j)}(\mathbf{S}) = \max_i(\mathbf{S}_{j\top}) - \text{mean}_i(\mathbf{S}_{j\top})$$

We follow the sampling strategy and choose Top-u Keys, thus the original self-attention  $\mathbf{S}$  reduces to  $\bar{\mathbf{S}} \in \mathbb{R}^{L \times u}$

Thus, the efficient jump self-attention is defined:  $A(Q, K, V) = \text{softmax} \left( \frac{\Phi(QK'^T)}{\sqrt{d}} \right) V$

Finally, we combine the JAT and the original self-attention to get the final attention score.

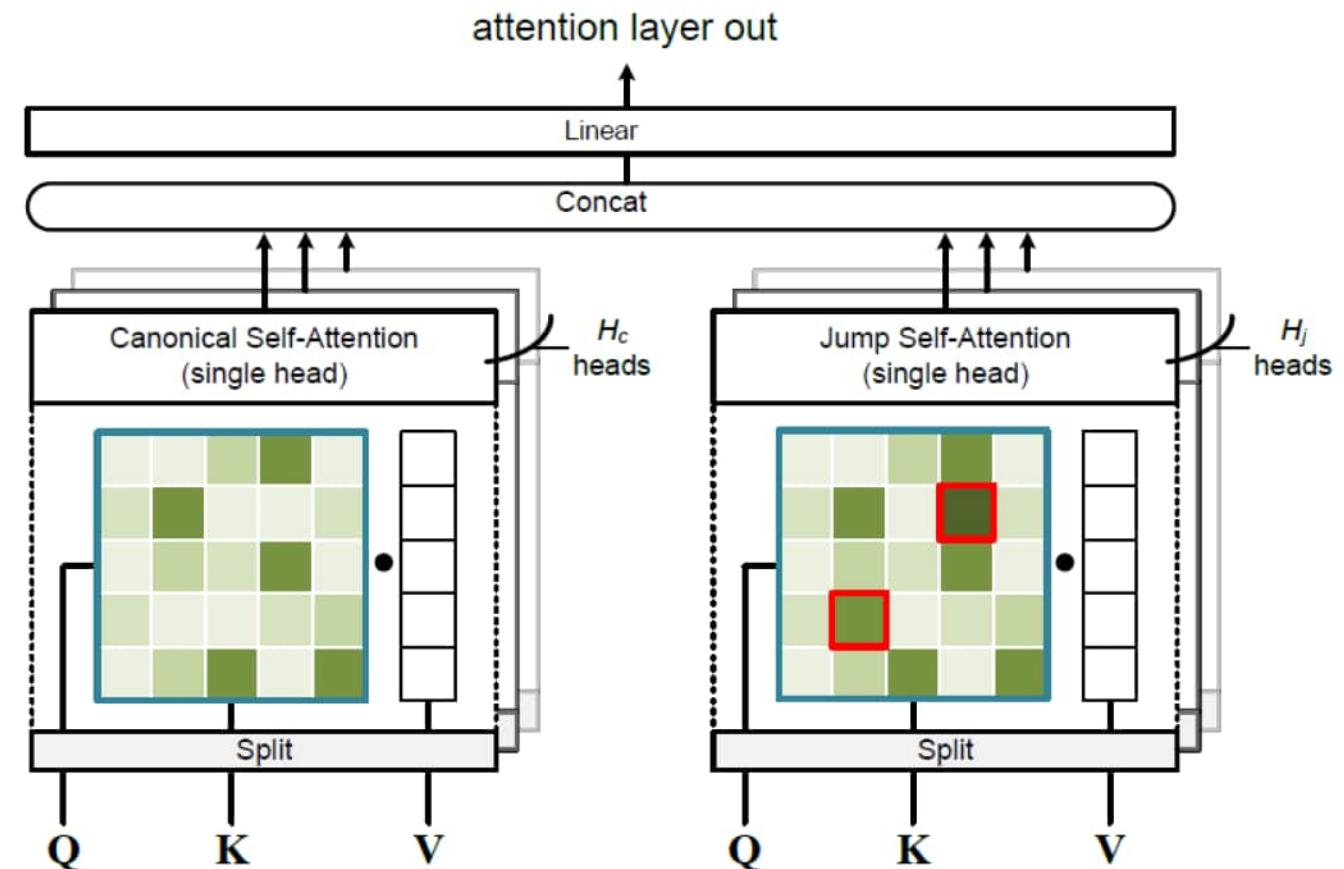
# Overall architecture

The multi-head jump self-attention:

$$\text{matAttention}(Q, K, V) = \text{concat}(\text{head}_1, \dots, \text{head}_{H_j}, \dots, \text{head}_m)$$

$$\text{Where } \text{head}_i = \begin{cases} \text{JatAttention}(Q_i, K_i, V_i), & \text{if } i \leq H_j \\ \text{Attention}(Q_i, K_i, V_i), & \text{otherwise} \end{cases}$$

JAT is compatible with canonical self-attention and can be used interchangeably.



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# Experiment settings

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## Datasets

- General Language Understanding Evaluation (GLUE)
- Stickier Benchmark for General-Purpose Language Understanding Systems (SuperGLUE)
- Stanford Question Answering Dataset (SQuAD v1.1)

## Baselines

GLUE: ELMo, BERT<sub>base</sub>, RoBERTa<sub>base</sub>

SuperGLUE: CBOW, M.F.C, BERT<sub>base</sub>, RoBERTa<sub>base</sub>

SQuAD v1.1: BiDAF-ELMo, XLNet<sub>base</sub>, BERT<sub>base</sub>, RoBERTa<sub>base</sub>

## Metrics

GLUE: The Matthews Correlation Coefficient for CoLA, Pearson Correlation Coefficient for STS-B,  
Accuracy for others

superGLUE: Exact Match (EM) and F1 score for MultiRC , Accuracy and F1 score for CB ,  
Accuracy for others

SQuAD v1.1: Exact Match (EM) and F1 score

## Platform

All methods are run on four Nvidia V100 GPUs.

# (1) GLUE Experiment Results

Model	CoLA 8.5k	MRPC 3.5k	RTE 2.5k	STS-B 5.7k	QNLI 108k	QQP 363k	SST-2 67k	WNLI 0.64k	MNLI 392k	Average
ELMo	44.1	76.6	53.4	70.4	71.1	86.2	91.5	56.3	68.6	68.7
BERT <sub>base</sub>	56.3	88.6	69.3	89.0	91.9	89.6	92.7	53.5	86.7	79.7
BERT-A <sup>3</sup>	<b>62.3</b>	<b>90.2</b>	<b>73.9</b>	90.1	91.1	90.6	92.9	55.6	<b>87.3</b>	81.5
BERT-JAT (ours)	61.6	89.1	73.3	<b>90.5</b>	<b>93.2</b>	<b>91.6</b>	<b>93.5</b>	<b>57.3</b>	85.6	<b>81.7</b>
BERT-JAT <sup>†</sup> (ours)	61.7	89.6	72.8	90.4	92.9	91.3	93.3	56.8	85.5	81.6
RoBERTa <sub>base</sub>	63.6	90.2	78.7	91.2	92.8	<b>91.9</b>	94.8	-	87.6	86.4
RoBERTa-JAT (ours)	65.9	91.4	80.2	91.3	<b>93.2</b>	91.7	<b>95.4</b>	-	<b>87.7</b>	<b>87.1</b>
RoBERTa-JAT <sup>†</sup> (ours)	<b>66.7</b>	<b>91.7</b>	<b>80.9</b>	<b>92.3</b>	92.9	91.7	94.9	-	87.5	<b>87.1</b>

<sup>1</sup> JAT<sup>†</sup> represents the efficient variant of JAT. And the '-' indicates abandoned experiments.

## JAT mechanism

- JAT enhance the complementary dependency of self-attention mechanism and gain more competitive scores.
- BERT-JAT outperform BERT<sub>base</sub> respectively on 9 tasks.
- RoBERTa-JAT outperform RoBERTa<sub>base</sub> respectively on 7 tasks.
- BERT-JAT achieves 9.4% score rising on CoLA and 7.1% on WNLI dataset.

## (2) SuperGLUE Experiment Results

Model	CB Acc/F1	BoolQ Acc	COPA Acc	MultiRC F1/EM	WiC Acc	WSC Acc	RTE Acc	Average
CBOW	71.4/49.6	62.4	63.0	20.3/0.3	55.3	61.5	54.2	55.4
M.F.C	50.0/22.2	62.2	55.0	59.9/0.8	50.0	63.5	52.7	56.2
BERT <sub>base</sub>	94.6/93.7	77.7	69.0	70.5/24.7	<b>74.9</b>	<b>68.3</b>	75.8	75.8
RoBERTa <sub>base</sub>	92.8/93.7	81.5	74.0	70.7/28.4	69.1	64.4	78.7	75.9
RoBERTa-JAT	×	×	<b>82.0</b>	×	70.2	65.4	80.2	-
RoBERTa-JAT <sup>†</sup>	<b>98.2/98.5</b>	<b>82.3</b>	79.0	<b>71.6/29.5</b>	70.5	67.3	<b>80.9</b>	<b>78.5</b>

### JAT mechanism

<sup>1</sup> JAT<sup>†</sup> represents the efficient variant of JAT.

<sup>2</sup> The ‘-’ indicates abandoned experiments, and ‘×’ happens when reaching out-of-memory.

- The efficient variant RoBERTa-JAT<sup>†</sup> achieves the best average scores.
- RoBERTa-JAT<sup>†</sup> outperform RoBERTa<sub>base</sub> respectively on 7 tasks.
- RoBERTa-JAT<sup>†</sup> achieves 5% score(Acc) rising on CB dataset.

### (3) SQuAD Experiment Results

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Model	SQuAD v1.1		SQuAD v2.0	
	EM	F1	EM	F1
BiDAF-ELMo	-	85.6	63.4	66.2
XLNet <sub>base</sub>	89.7	95.1	87.9	90.6
BERT <sub>base</sub>	81.2	87.9	75.9	79.3
BERT- <i>A</i> <sup>3</sup>	81.8	89.3	75.9	79.3
<b>BERT-JAT</b>	82.1	89.3	76.4	82.0
RoBERTa <sub>base</sub>	88.9	94.6	86.5	89.4
RoBERTa- <i>A</i> <sup>3</sup>	89.2	94.8	86.6	89.7
<b>RoBERTa-JAT</b>	<b>90.1</b>	<b>95.2</b>	<b>87.0</b>	<b>90.2</b>

**JAT mechanism**



BERT-JAT and RoBERTa-JAT achieve better performance in both EM and F1 scores.

# (4) Ablation study

Table 4: The scores of different JAT's layer deployment.

Model	CoLA	MRPC	RTE	STS-B
RoBERTa <sub>base</sub>	63.6	90.2	78.7	91.2
RoBERTa-JAT <sub>[1,4]</sub>	63.9	<b>90.9</b>	78.8	<b>91.2</b>
RoBERTa-JAT <sub>[5,8]</sub>	63.6	90.4	78.7	90.9
RoBERTa-JAT <sub>[9,12]</sub>	63.6	90.2	76.1	90.9
RoBERTa-JAT <sub>[1,6]</sub>	<b>64.6</b>	90.4	<b>79.4</b>	91.1
RoBERTa-JAT <sub>[7,12]</sub>	63.8	89.7	78.4	91.0

Table 5: The scores of different JAT's heads grouping.

Model	CoLA	MRPC	RTE	STS-B
RoBERTa <sub>base</sub>	63.6	90.2	78.7	91.2
RoBERTa-JAT <sub>h2</sub>	63.7	<b>91.4</b>	<b>80.2</b>	<b>91.1</b>
RoBERTa-JAT <sub>h4</sub>	63.9	91.2	<b>80.2</b>	90.6
RoBERTa-JAT <sub>h6</sub>	63.6	90.4	79.7	90.8
RoBERTa-JAT <sub>h8</sub>	63.8	90.2	78.9	90.7
RoBERTa-JAT <sub>h10</sub>	64.1	90.2	77.2	90.5
RoBERTa-JAT <sub>h12</sub>	<b>64.7</b>	90.0	77.8	90.5

Table 6: The scores of JAT's increasing order.

Model	CoLA	MRPC	RTE	STS-B
RoBERTa <sub>base</sub>	63.6	90.2	78.7	91.2
RoBERTa-JAT <sup>o1</sup>	<b>65.4</b>	<b>91.4</b>	79.9	<b>91.3</b>
RoBERTa-JAT <sup>o2</sup>	64.4	90.7	<b>80.2</b>	90.8
RoBERTa-JAT <sup>o3</sup>	64.0	91.2	78.7	90.9

- Using JAT heads in lower or middle layers leads to better scores.
- Adding JAT heads enhance the high-order global dependencies but too strong high-order inductive bias suppressing the canonical self-attention.
- the second-order self-attention is sufficient to discover more higher-order connections.

# (5) Case Study: Layer Stacking Degradation

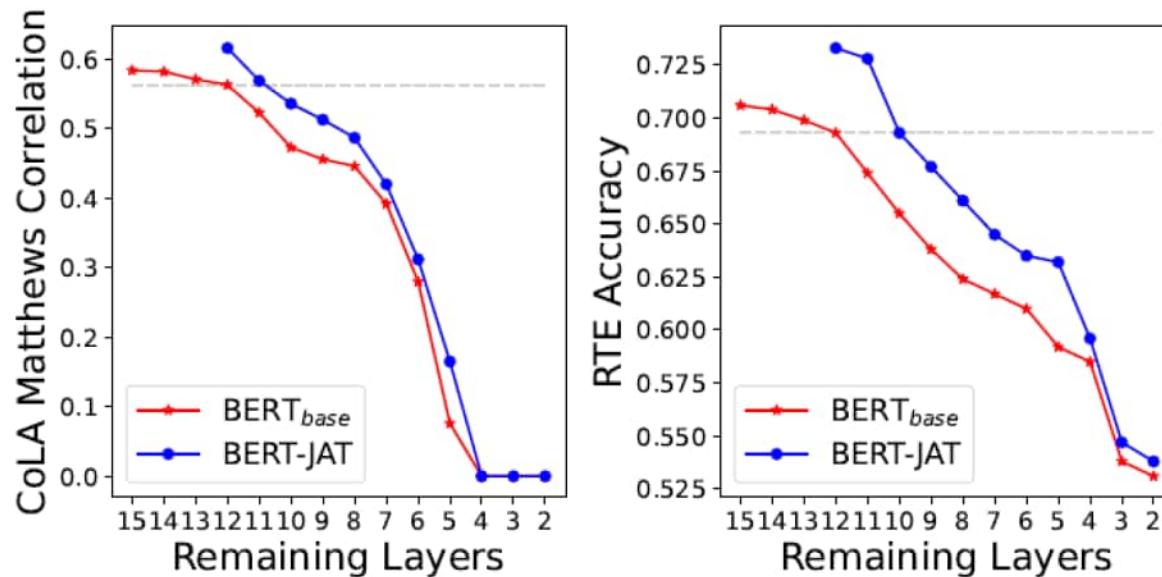


Figure 4: The performance decreases when the overall layers degrade from 12 to 2.

- BERT-JAT consistently outperforms BERT<sub>base</sub> with fewer layers.
- BERT-JAT with only 12 layers outperforms BERT<sub>base</sub> with complete 15 layers on both datasets.

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# Summary

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- ❑ Jump Self-attention mechanism allows for attention on dissimilarity pairs and it contributes to building the high-level dependency.
- ❑ Jump Self-attention mechanism can be deployed with canonical self-attention through proper configurations.
- ❑ JAT<sup>†</sup>: efficient variants for long inputs on large-scale models.
- ❑ Experimental results on three benchmarks demonstrate that JAT outperforms the baselines and it shows the benefits of introducing jump self-attention into Transformers.

Thank you!

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