



Exploring Diverse In-Context Configurations for Image Captioning

Xu Yang, Yongliang Wu, Mingzhuo Yang, Haokun Chen, Xin Geng

Pattern Learning and Mining (PALM) Lab <http://palm.seu.edu.cn/>
School of Computer Science and Engineering, Southeast University, China



Outline

Background

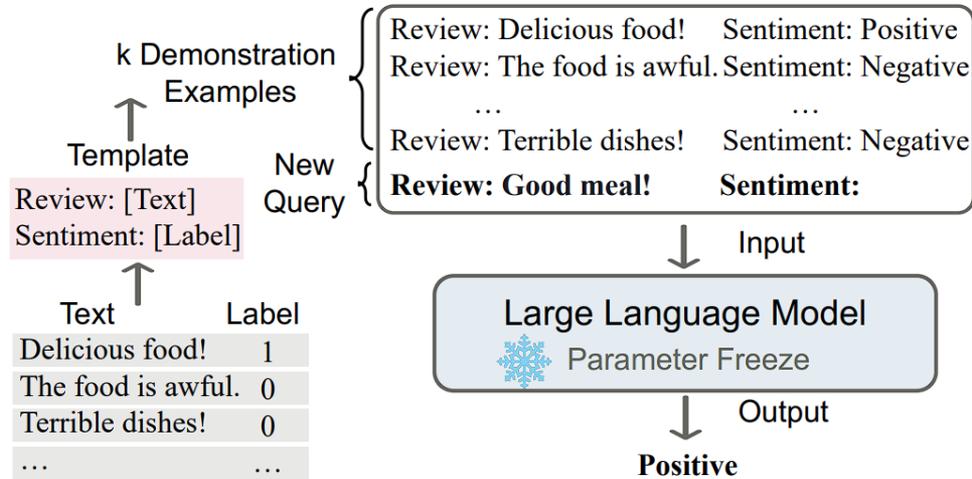
Methods

Experiments

Takeaways

In-Context Learning:

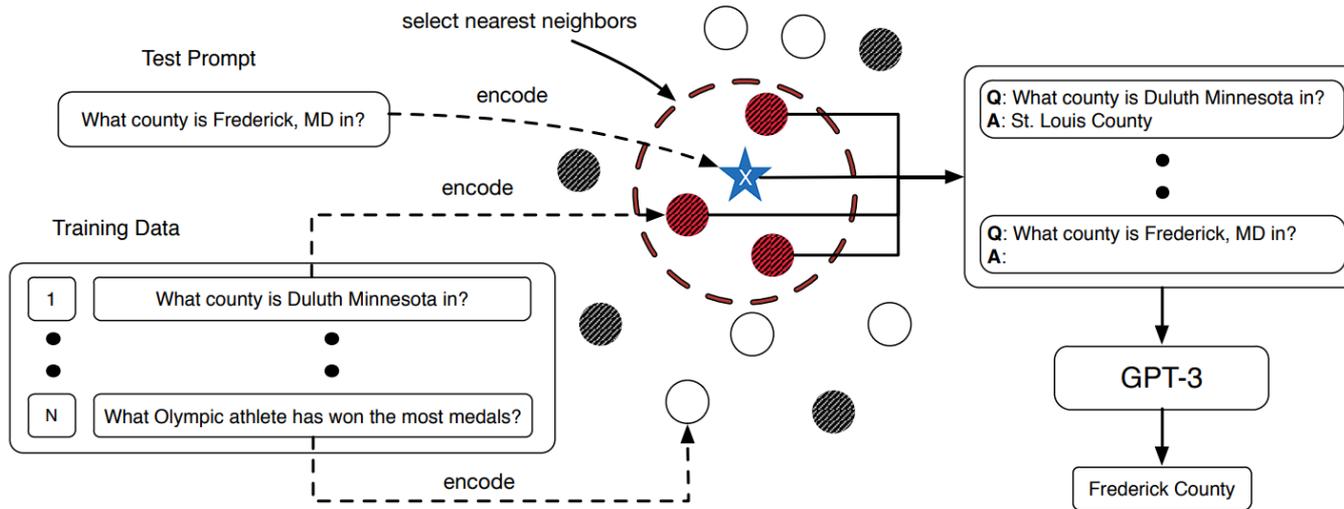
Allows a model to adapt to a task using a few examples



“We demonstrate that **scaling up language models greatly improves task-agnostic, few-shot performance**, sometimes even becoming competitive with prior state-of-the-art fine-tuning approaches.” -- “Language Models are Few-Shot Learners” (GPT-3)

Previous Study: Demonstration Selection

Liu et al.^[1] suggest retrieving semantically-similar examples corresponding to a test sample



References

[1] Liu, Jiachang, et al. "What Makes Good In-Context Examples for GPT-3?." DeelIO 2022

Previous Study: Demonstration Formatting

Wei et al.^[1] adding intermediate reasoning steps, commonly known as "Chain of Thought."

Standard Prompting

Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

A: The answer is 27. ❌

Chain-of-Thought Prompting

Model Input

Q: Roger has 5 tennis balls. He buys 2 more cans of tennis balls. Each can has 3 tennis balls. How many tennis balls does he have now?

A: Roger started with 5 balls. 2 cans of 3 tennis balls each is 6 tennis balls. $5 + 6 = 11$. The answer is 11.

Q: The cafeteria had 23 apples. If they used 20 to make lunch and bought 6 more, how many apples do they have?

Model Output

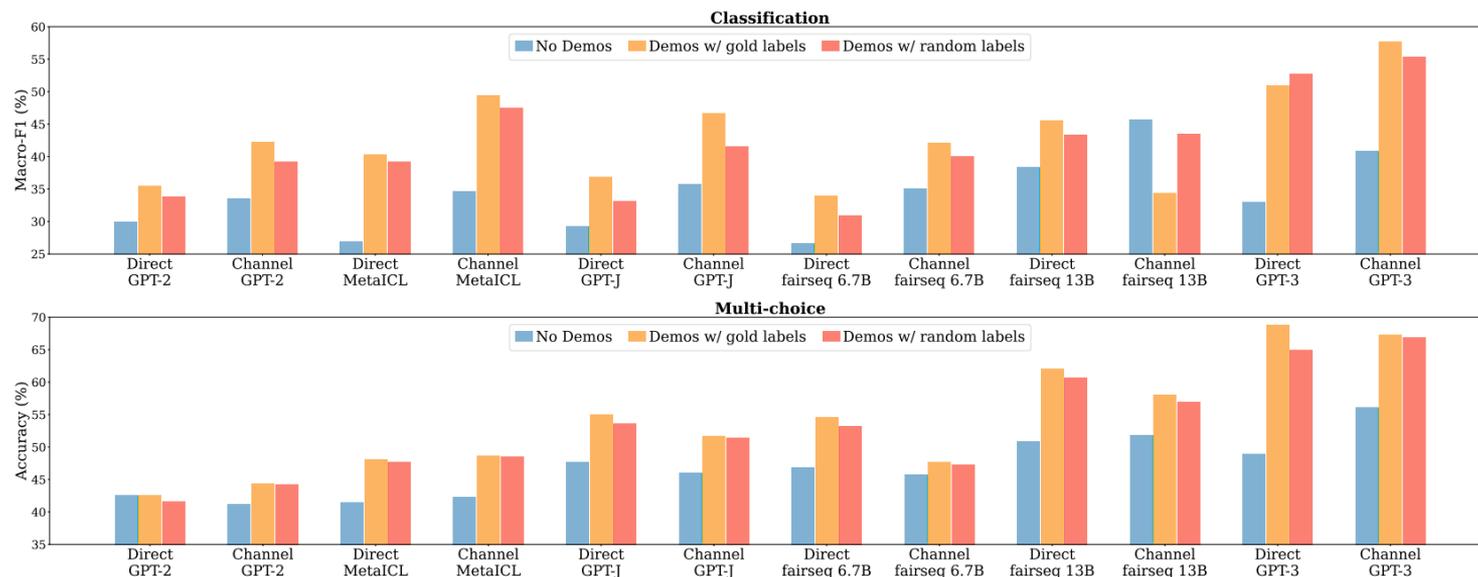
A: The cafeteria had 23 apples originally. They used 20 to make lunch. So they had $23 - 20 = 3$. They bought 6 more apples, so they have $3 + 6 = 9$. The answer is 9. ✅

References

[1] Wei, Jason, et al. "Chain-of-thought prompting elicits reasoning in large language models." NeurIPS 2022

Previous Study: Mechanism Exploration

Min et al.^[1] find that even random label replacements have minimal impact on performance.

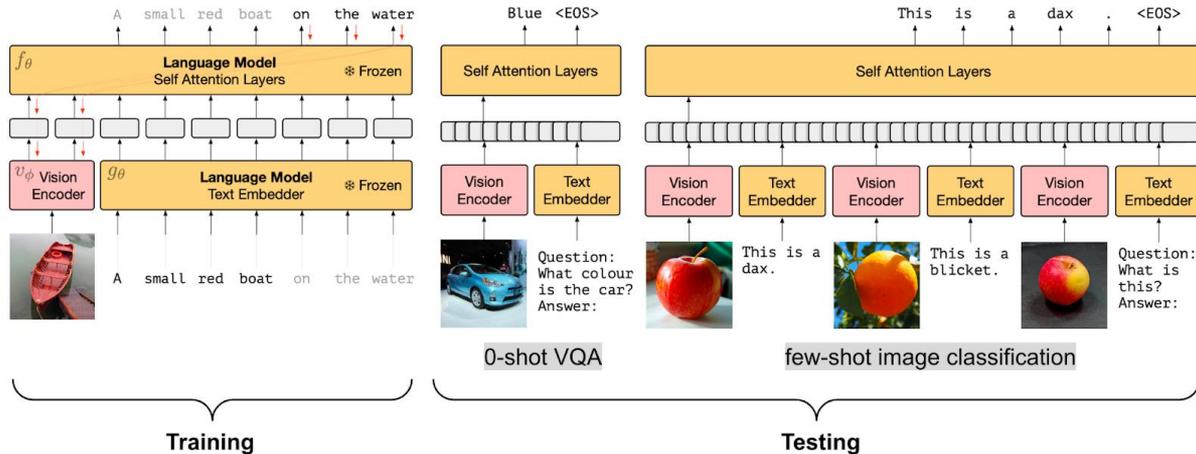


References

[1] Min, Sewon, et al. "Rethinking the Role of Demonstrations: What Makes In-Context Learning Work?." EMNLP 2022.

Status Quo: From LLMs to VLMs

- ❖ Numerous Vision Language Models (VLMs), such as Flamingo^[1] and MiniGPT-4^[2] have emerged
- ❖ The exploration of in-context learning configurations on VLMs is still limited



References

- [1] Alayrac, Jean-Baptiste, et al. "Flamingo: a visual language model for few-shot learning." NeurIPS 2022
- [2] Zhu, Deyao, et al. "Minigtpt-4: Enhancing vision-language understanding with advanced large language models."
- Image Source: <https://lilianweng.github.io/posts/2022-06-09-vlm/>



Outline

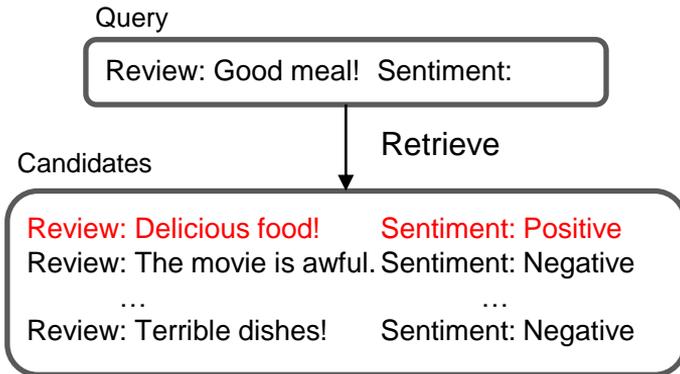
Background

Methods

Experiments

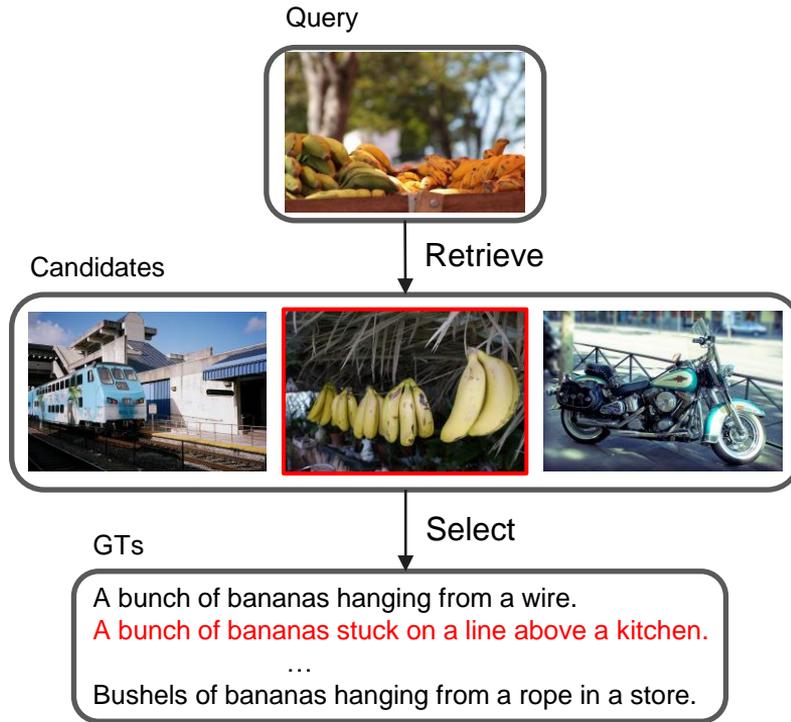
Takeaways

From Single-Modal to Multi-Modal: More Complex



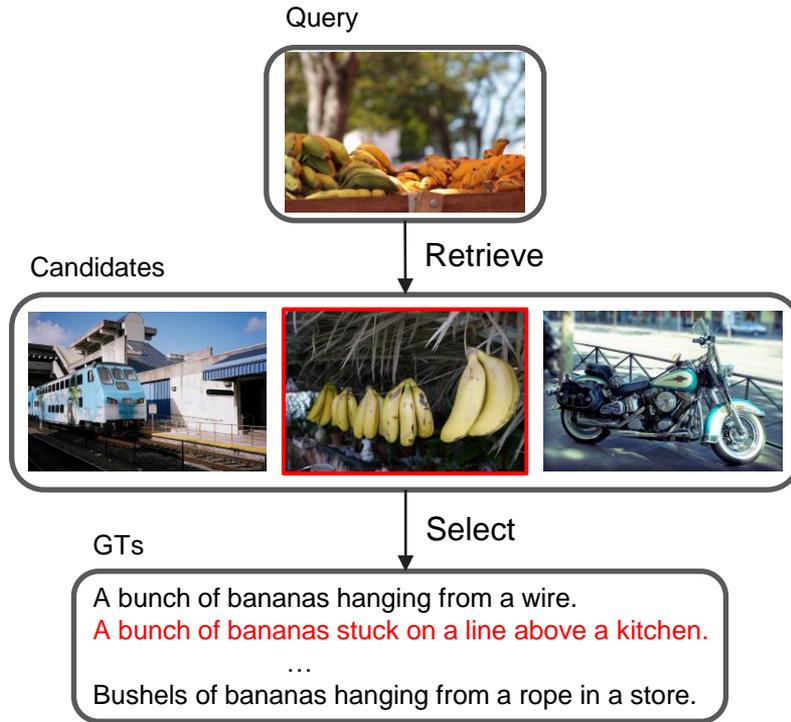
Which **one** is better?

From Single-Modal to Multi-Modal: More Complex



Which pair is better?

From Single-Modal to Multi-Modal: More Complex



Step1: Given a test image, how to select the proper image?

Step2: Given the selected image, how to choose the suitable caption?



Method: Image Selection Strategies

Step1: Given a test image, how to select the proper image?

1. **Random Selection (RS)**
2. Similarity-based Image-Image Retrieval (SIIR)
3. Similarity-based Image-Caption Retrieval (SICR)
4. Diversity-based Image-Image Retrieval (DIIR)

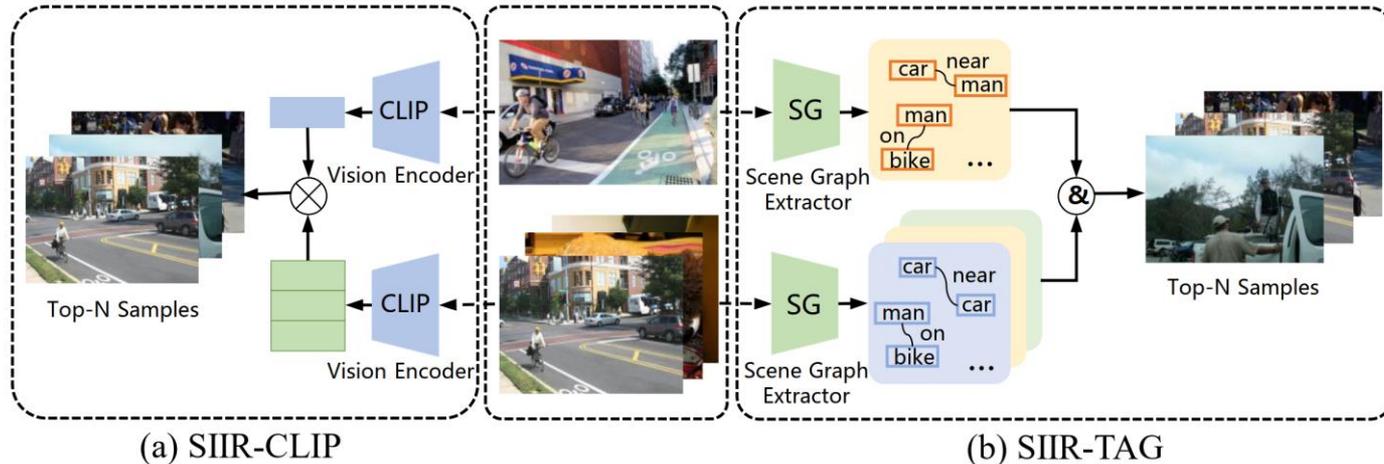
We randomly select k examples for few-shot in-context learning.

Method: Image Selection Strategies

Step1: Given a test image, how to select the proper image?

1. Random Selection (RS)
2. **Similarity-based Image-Image Retrieval (SIIR)**
3. Similarity-based Image-Caption Retrieval (SICR)
4. Diversity-based Image-Image Retrieval (DIIR)

We use some models to extract the representations from the images, such as CLIP or VinVL. Then retrieve the top-k examples.



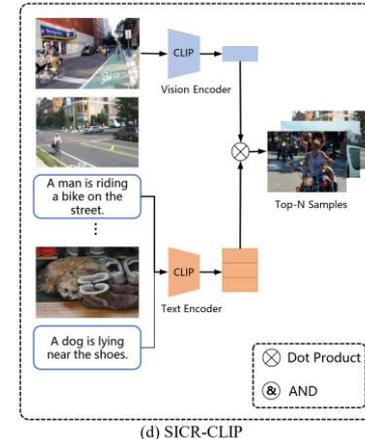
Method: Image Selection Strategies

Step1: Given a test image, how to select the proper image?

1. Random Selection (RS)
2. Similarity-based Image-Image Retrieval (SIIR)
3. **Similarity-based Image-Caption Retrieval (SICR)**
4. Diversity-based Image-Image Retrieval (DIIR)

As CLIP could embedding the image and text into same latent space.

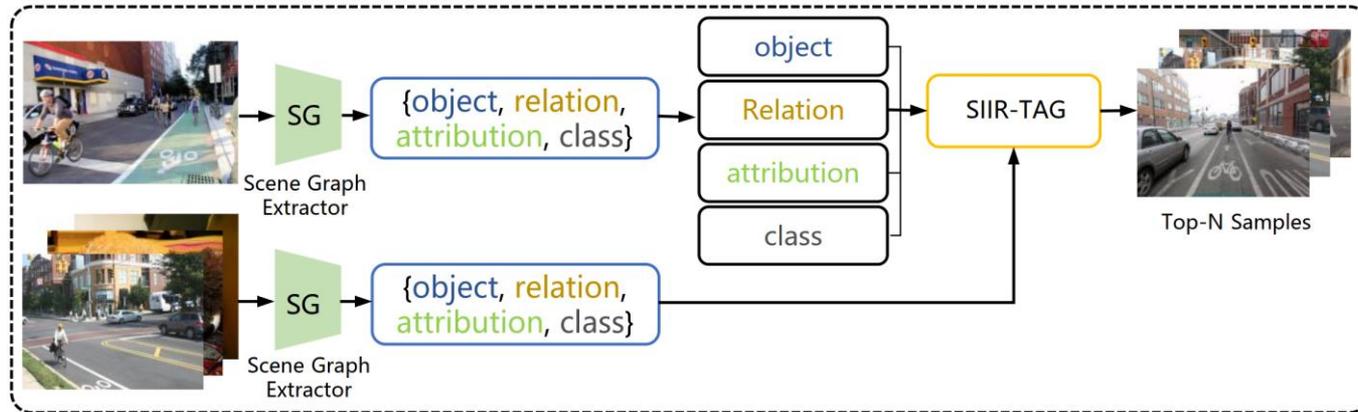
We could to retrieve the proper caption for the test image then get the pairs.



Method: Image Selection Strategies

Step1: Given a test image, how to select the proper image?

1. Random Selection (RS)
2. Similarity-based Image-Image Retrieval (SIIR)
3. Similarity-based Image-Caption Retrieval (SICR)
4. **Diversity-based Image-Image Retrieval (DIIR)**



(c) DIIR-TT

Method: Caption Assignment Strategies

Step2: Given the selected image, how to choose the suitable caption?

1. **Ground Truth Caption (GTC)**
2. Model Generated Caption (MGC)
3. Model Generated Caption as Anchor (MGCA)
4. Iterative Prompting (IP)

For MSCOCO Dataset, each image has five human-annotated captions. We choose the first caption in our experiments



- ① **A close up of a young person at a table eating cake.**
- ② A small girl takes a bite of chocolate cake.
- ③ A young girl eating a piece of chocolate cake.
- ④ A little girl taking a big bite out of chocolate cake.
- ⑤ A young child enjoying a serving of cake and ice cream.

Method: Caption Assignment Strategies

Step2: Given the selected image, how to choose the suitable caption?

1. Ground Truth Caption (GTC)
2. **Model Generated Caption (MGC)**
3. Model Generated Caption as Anchor (MGCA)
4. Iterative Prompting (IP)

Given an image, we can use a VLM or an offline captioner to generate corresponding caption



Vision Language Models
Or
Offline Captioner

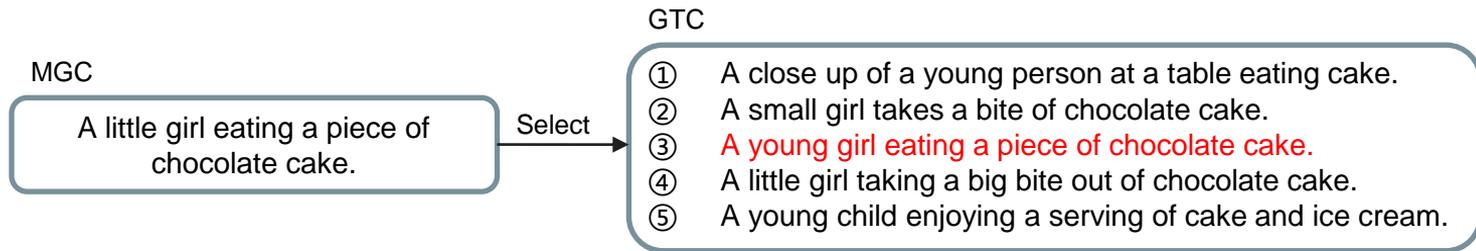
A little girl eating a piece of
chocolate cake.

Method: Caption Assignment Strategies

Step2: Given the selected image, how to choose the suitable caption?

1. Ground Truth Caption (GTC)
2. Model Generated Caption (MGC)
3. **Model Generated Caption as Anchor (MGCA)**
4. Iterative Prompting (IP)

Once get the generated caption, we could compute which GTC have higher CIDEr with it.

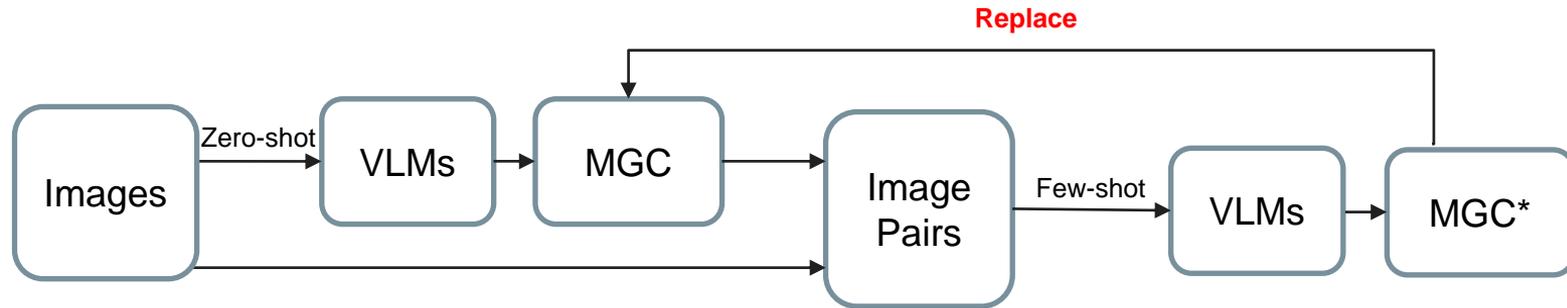


Method: Caption Assignment Strategies

Step2: Given the selected image, how to choose the suitable caption?

1. Ground Truth Caption (GTC)
2. Model Generated Caption (MGC)
3. Model Generated Caption as Anchor (MGCA)
4. **Iterative Prompting (IP)**

We generate captions using MGC-VLM and then using these captions paired with the images to iteratively prompt VLM for enhanced captions.





Outline

Background

Methods

Experiments

Takeaways

Effects of Caption Qualities

Conclusion 1: the performance typically improves with an increase in shot numbers. However, the rate of improvement varies, or even declines, depending on the quality of the captions.

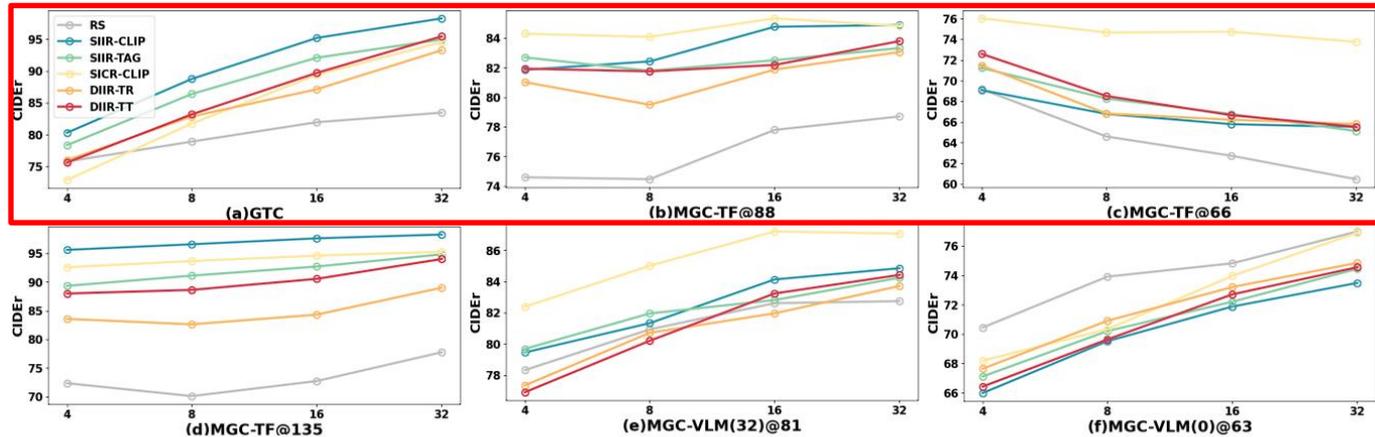


Figure 4: The line charts of various in-context images with diverse caption-assignment strategies.

Effects of Caption Qualities

Conclusion 2: up to a certain threshold of descriptiveness, the VLM more readily identifies simpler sentence structures, enhancing the generation of captions, particularly when the images supply sufficient visual cues.

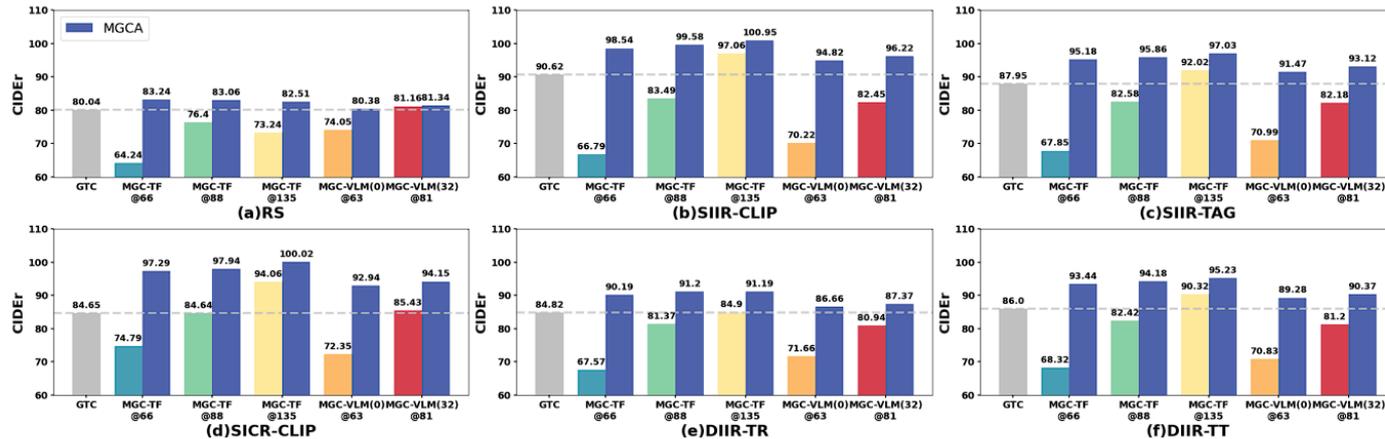


Figure 5: The histograms of various in-context captions with diverse image-selection strategies.

Effects of Caption Qualities

Conclusion 2: up to a certain threshold of descriptiveness, the VLM more readily identifies simpler sentence structures, enhancing the generation of captions, particularly when the images supply sufficient visual cues.

		...				...	
A row of motorcycles parked in front of a street.	A group of motorcycles parked in front of a street.		A group of motorcycles parked in front of a street.	A piece of cake on a plate with a fork.	A piece of cake on a plate with a fork.		A piece of cake on a plate with a fork and a spoon.
Several motor scooters are jammed into a small market street.	A row of parked bicycles sitting in front of a store.		Rows of motor scooters are parked in front of a store.	This slice of cake looks like half cheesecake and half vanilla.	A bite is taken out of a piece of cake.		This slice of cake looks like half cheesecake and half vanilla cake.

(a) MGC-TF@135(blue) vs. GTC(red)

		...				...	
A close up view of a traffic light that's red.	A close up picture of a red traffic light.		A picture of a traffic light that's red.	Two sailing boats are moored in a harbour.	A view of a lake with a boat and a dock in the foreground.		A view of a lake with a boat and a dock in the foreground.
A stop sign that is on a street.	A stop sign on a street with a street.		A stop sign on the side of a street with a street light.	A group of boats in the water and a boat.	A boat on a boat in the water.		A boat with a boat in the water and a boat in the water.

(b) MGC-VLM(0)@63(blue) vs. MGC-TF@66(red)

Effects of Caption Qualities

Conclusion 3: MGCA consistently improve over GTCs, likely because they verbalize major image patterns, such as salient objects. This helps identify the GTC with the most detailed information about these patterns, which helps VLMs generate better captions.

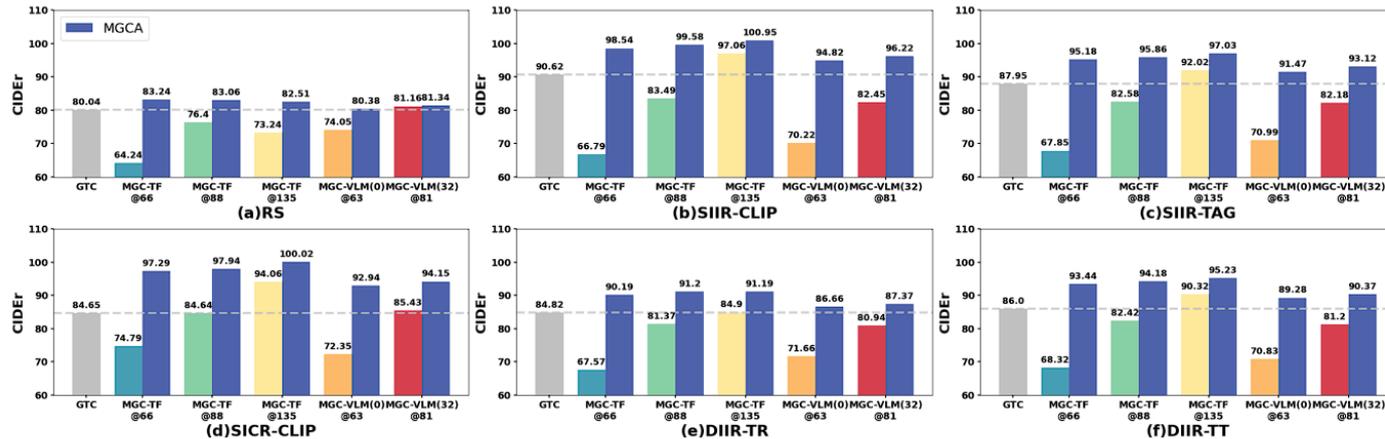


Figure 5: The histograms of various in-context captions with diverse image-selection strategies.



Effects of Caption Qualities

Conclusion 4: The results in the table suggest that extended VLM iterations are redundant, as both MGC-VLM(0) and MGC-VLM(32) stabilize after the third and second iterations, respectively. Even when limited to only 32-shot GTCs, two iterations of IP are sufficient to achieve performances comparable to those seen when all GTCs are used.

Iter	1	2	3	4	5
MGC-VLM(0)	63.0	74.1	79.9	79.3	77.3
MGC-VLM(32)	85.3	80.5	79.4	78.9	77.1

Table 1: The CIDEr scores of IP in different iterations.

Effects of Image Qualities

Conclusion 5: The effectiveness of using similar images is closely linked to the quality of the corresponding captions. We need to consider the synergy between modalities.

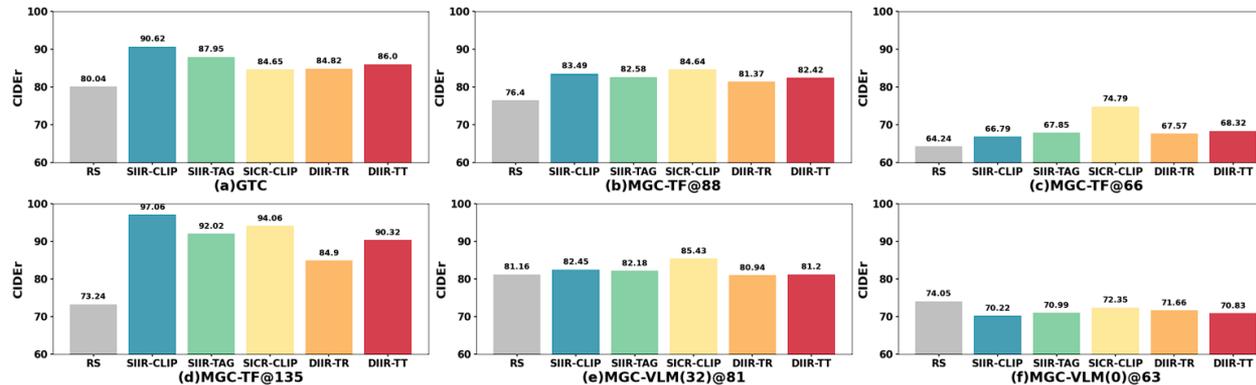


Figure 4: The histograms of various in-context images with diverse caption-assignment strategies.

Effects of Image Qualities

Conclusion 6: when in-context images are similar to the test image, VLM may take a short-cut by leveraging in-context captions to generate a new one, rather than learning how to caption from the in-context pairs



(a) Experiment (1)



(c) Experiment (2)



(b) Experiment (3)



Outline

Background

Methods

Experiments

Takeaways



Takeaways

❖ Objective

- Examine the impact of varied multi-modal in-context configurations using image captioning as a case study.

❖ Key Findings

- The VLM is better at identifying and generating captions for simpler sentence structures up to a certain level of descriptiveness. This is especially true when the images provide enough visual information.
- The VLM tends to take shortcuts when the test image is similar to in-context images. Instead of learning to caption from the in-context pairs, it reuses captions from similar in-context images to generate a new one.

❖ Limitations

- Relied on Open-Flamingo, which underperforms due to less training data compared to official Flamingo.
- The findings need to be validated on more models.

Thanks!
Any questions?