

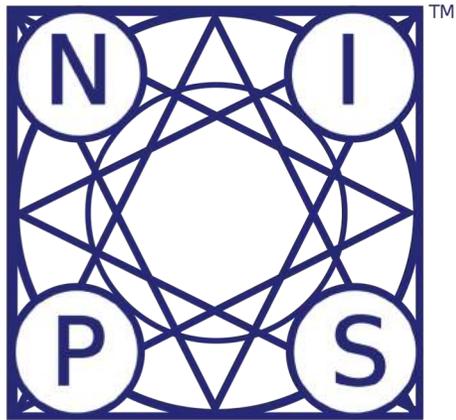
NeurIPS 2018



Vision and Security Technology  
UNIVERSITY OF COLORADO **COLORADO SPRINGS**

## Reducing Network Agnostophobia

*Akshay Raj Dhamija*  
Dr. Manuel Günther  
Dr. Terrance E. Boulton



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## Reducing Network **Agnostophobia**

### “The Fear of Unknow”

*Akshay Raj Dhamija*

Dr. Manuel Günther

Dr. Terrance E. Boulton

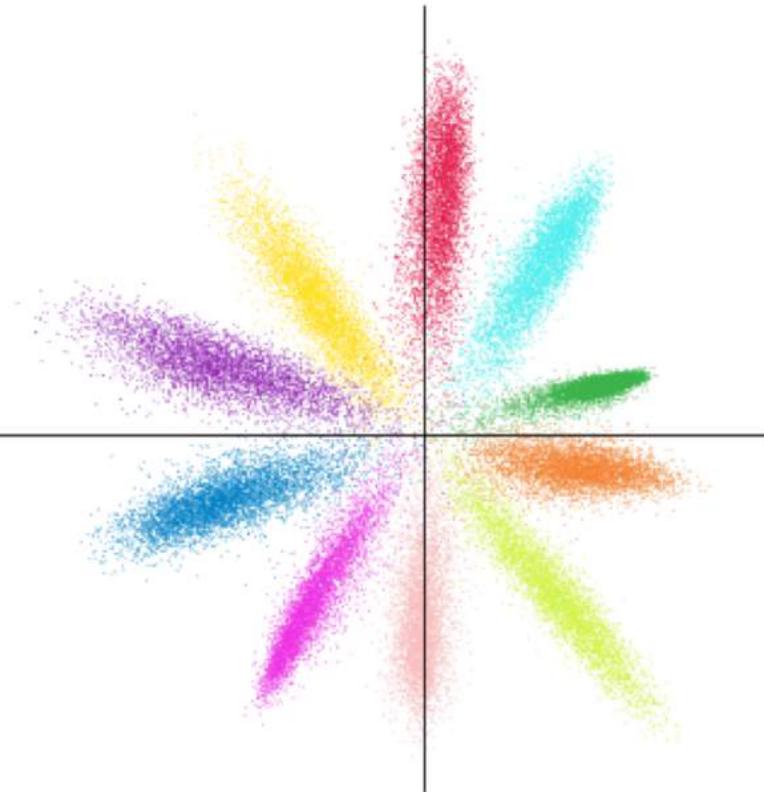
# Classification with Deep Neural Networks

# Classification with Deep Neural Networks

0  
1  
2  
3  
4  
5  
6  
7  
8  
9

LeNet ++  
Architecture

2D Feature Vector



Deep Feature Representations



Decision Planes

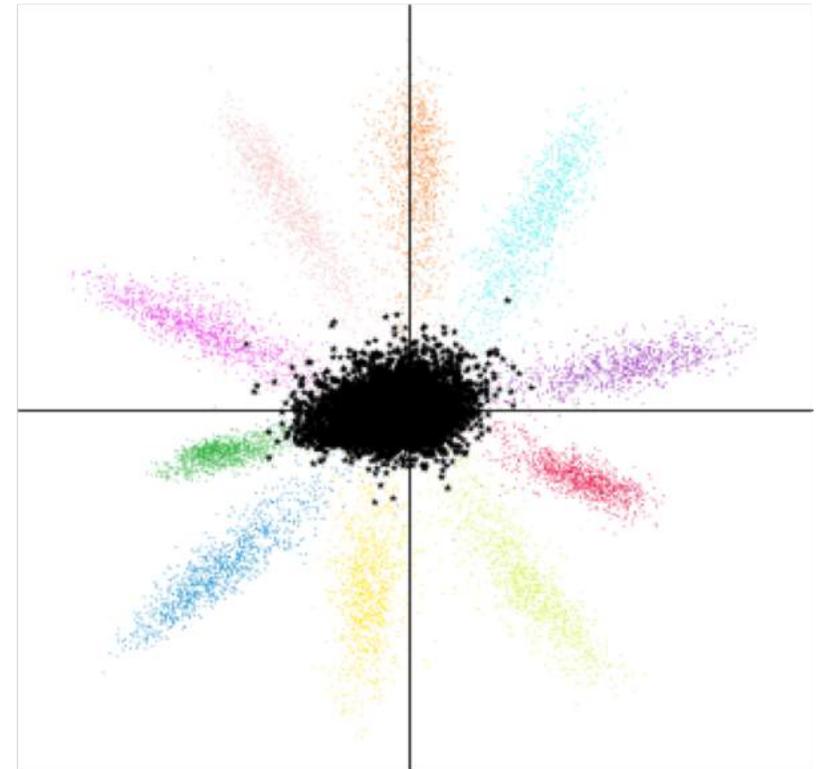
# Response to Out of Distribution Samples - CIFAR Samples

# Response to Out of Distribution Samples - CIFAR Samples



LeNet ++  
Architecture  
Trained to classify  
MNIST Digits

2D Feature Vector

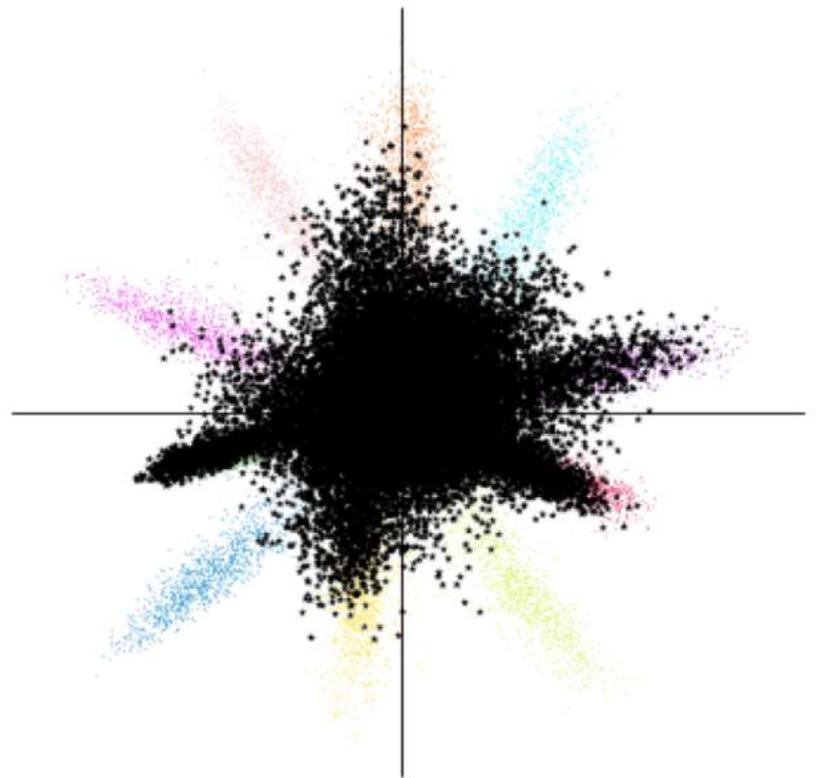


# Response to Out of Distribution Samples - NIST Letters



LeNet ++  
Architecture  
Trained to classify  
MNIST Digits

2D Feature Vector



# Handwritten Character Recognition Using Neural Network Architectures\*

O. Matan, R. K. Kiang, C. E. Stenard, B. Boser,  
J. S. Denker, D. Henderson, R. E. Howard, W. Hubbard,  
L. D. Jackel, and Y. Le Cun  
AT&T Bell Laboratories, Holmdel, N. J. 07733

## Abstract

We have developed a neural-network architecture for recognizing handwritten digits. This network has 1% error rate with about 7% reject rate on handwritten zipcode digits provided by the U.S. Postal Service. In this paper we discuss the architecture and the results.

One of the earliest approaches for "none of the above" or "none of known classes" - 1990

outputs a field containing the score for each class. There are other interesting theoretical qualities of Softmax, such as its connection to the entropy of the system (Bridle, 1989). The form of Softmax is the following:

$$S_i = \frac{e^{\beta O_i}}{\sum_k e^{\beta O_k}}$$

Where  $O_i$  is the activation level of output unit  $i$ , and  $S_i$  is the Softmax score for class  $i$ . We have slightly modified this function by adding an additional term to the denominator:

$$S_i = \frac{e^{\beta O_i}}{e^{\alpha} + \sum_k e^{\beta O_k}}$$

The term involving  $\alpha$  essentially represents the activation level of an artificial  $N+1$ st category, the "none of the above" category. It will cause reduction of the score when the highest active unit has a low absolute value.

Softmax considers competition between the most-active unit and all the

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Standard Softmax

Where  $O_i$  is the activation level of output unit  $i$ , and  $S_i$  is the Softmax score for class  $i$ . We have slightly modified this function by adding an additional term to the denominator:

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Modified Softmax

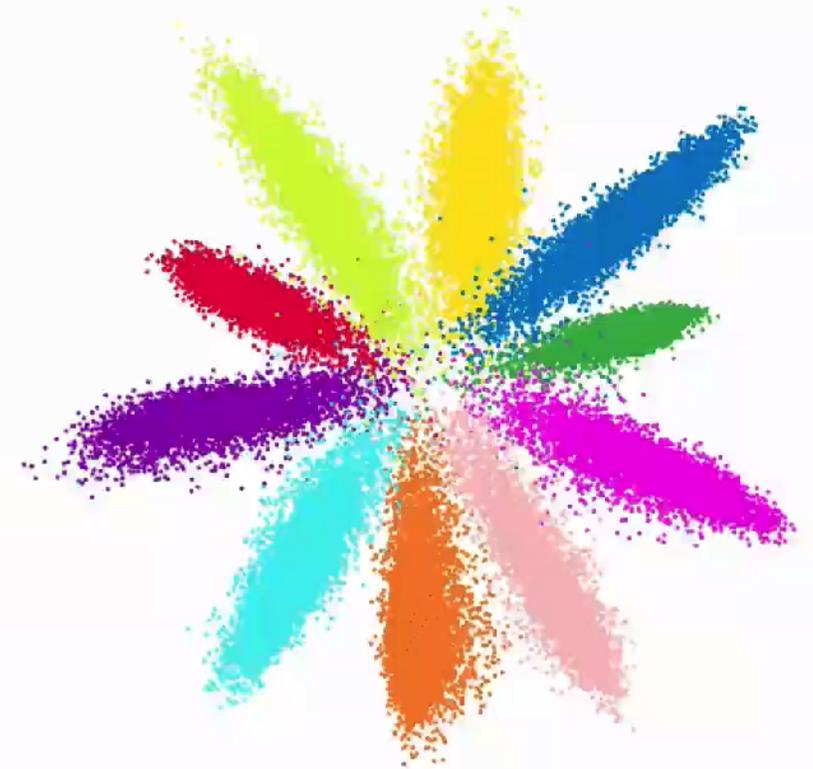
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Softmax considers competition between the most-active unit and all the

Side View



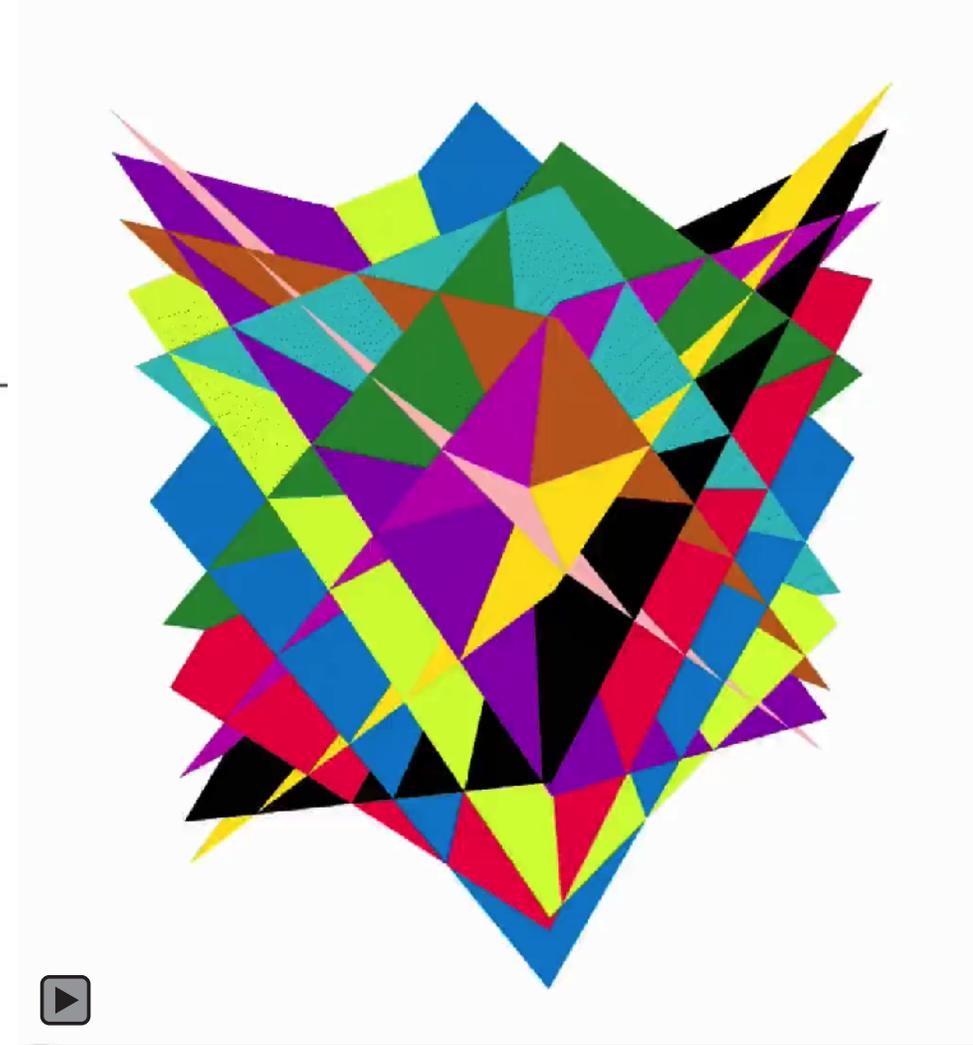
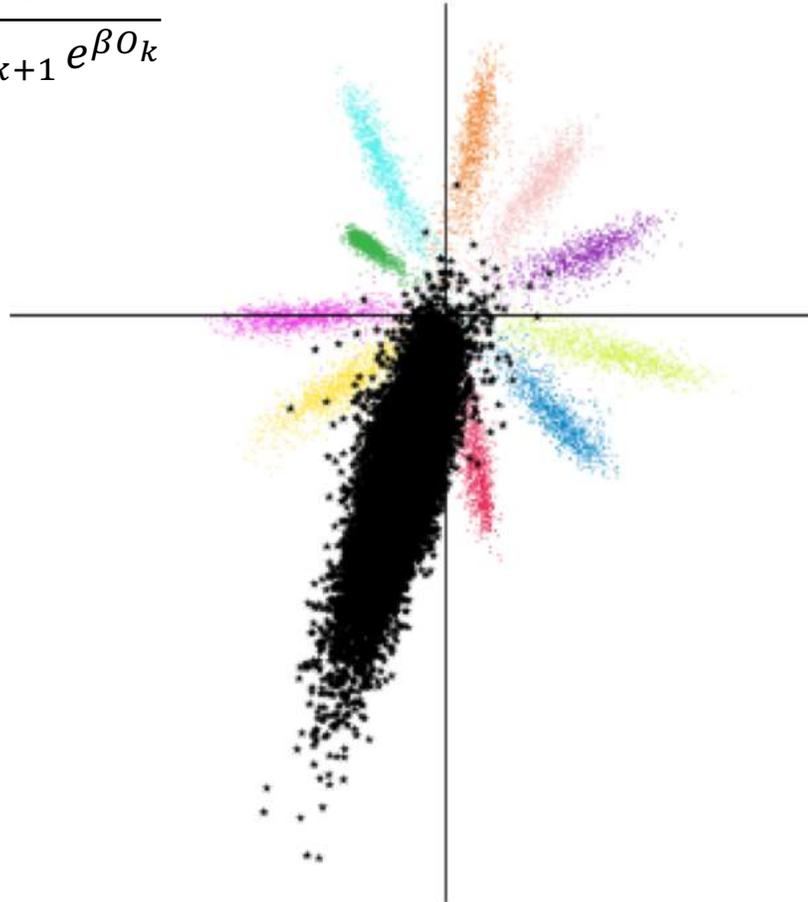
Top View



# Background Class Approach

$$S_i = \frac{e^{\beta 0_i}}{e^\alpha + \sum_k e^{\beta 0_k}} \rightarrow S_i = \frac{e^{\beta 0_i}}{\sum_{k+1} e^{\beta 0_k}}$$

Learning  $\alpha$  plane  
and better features to  
separate the unknowns



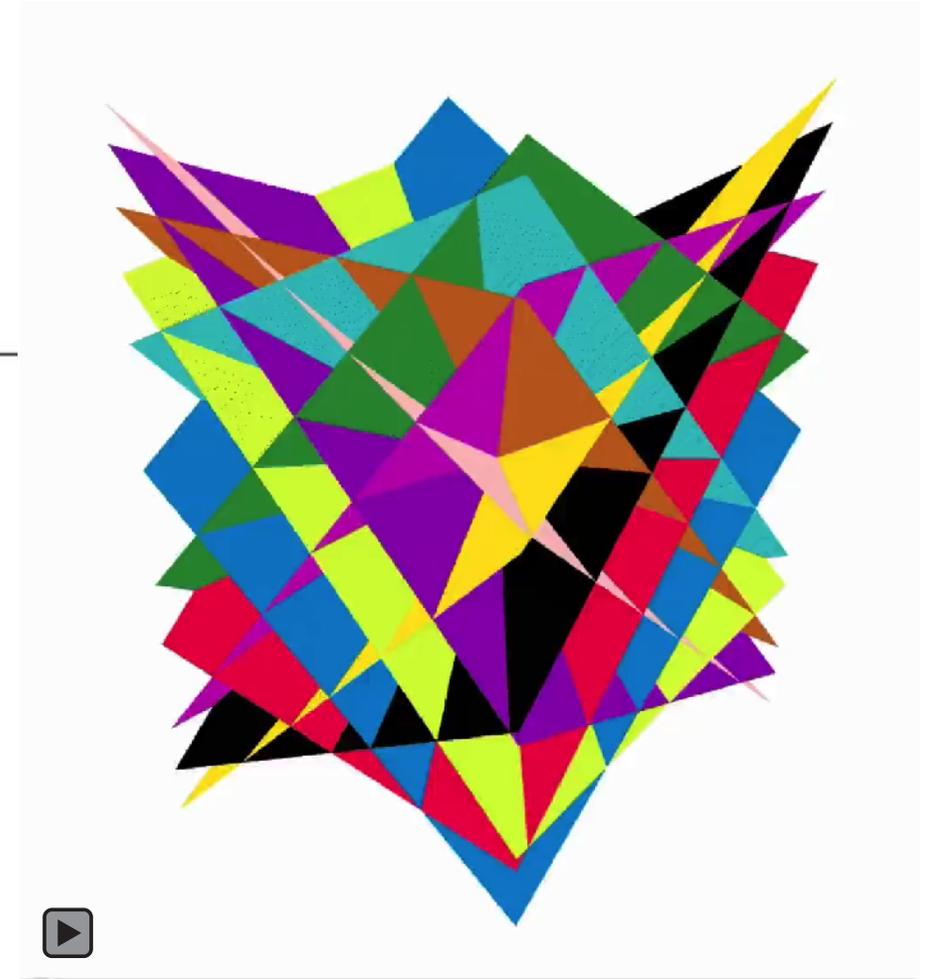
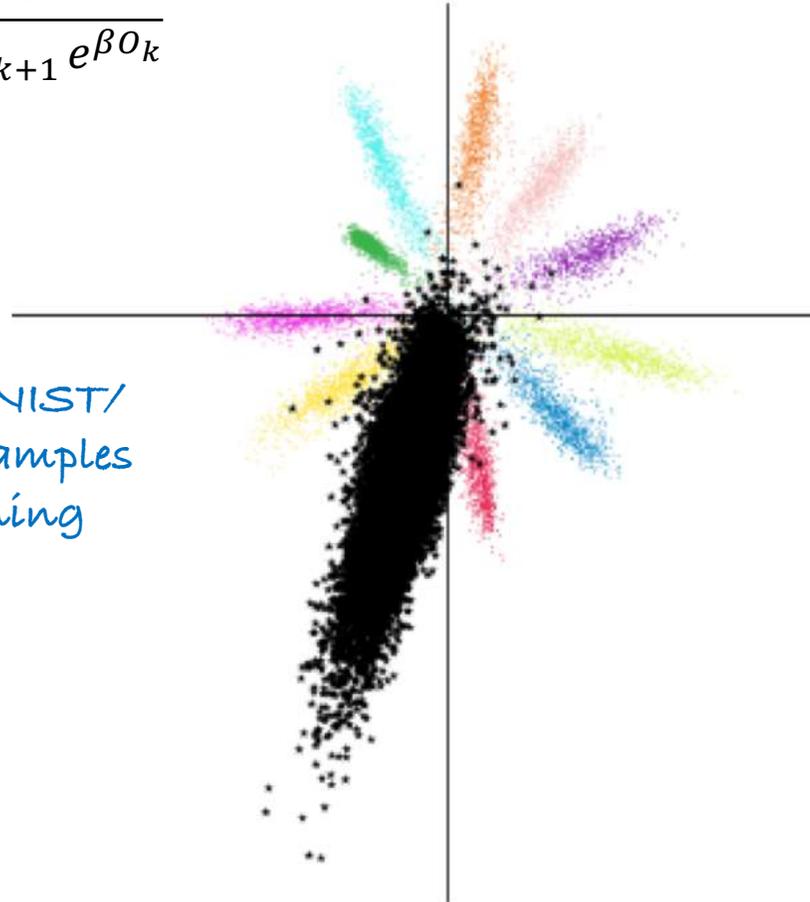
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Learning  $\alpha$  plane  
and better features to  
separate the unknowns

Pool of non-MNIST/  
Background samples  
during training

e l f d H p b k  
c m a i x u t  
z , s q r y w



# Background Class Approach

$$S_i = \frac{e^{\beta 0_i}}{e^\alpha + \sum_k e^{\beta 0_k}} \rightarrow S_i = \frac{e^{\beta 0_i}}{\sum_{k+1} e^{\beta 0_k}}$$

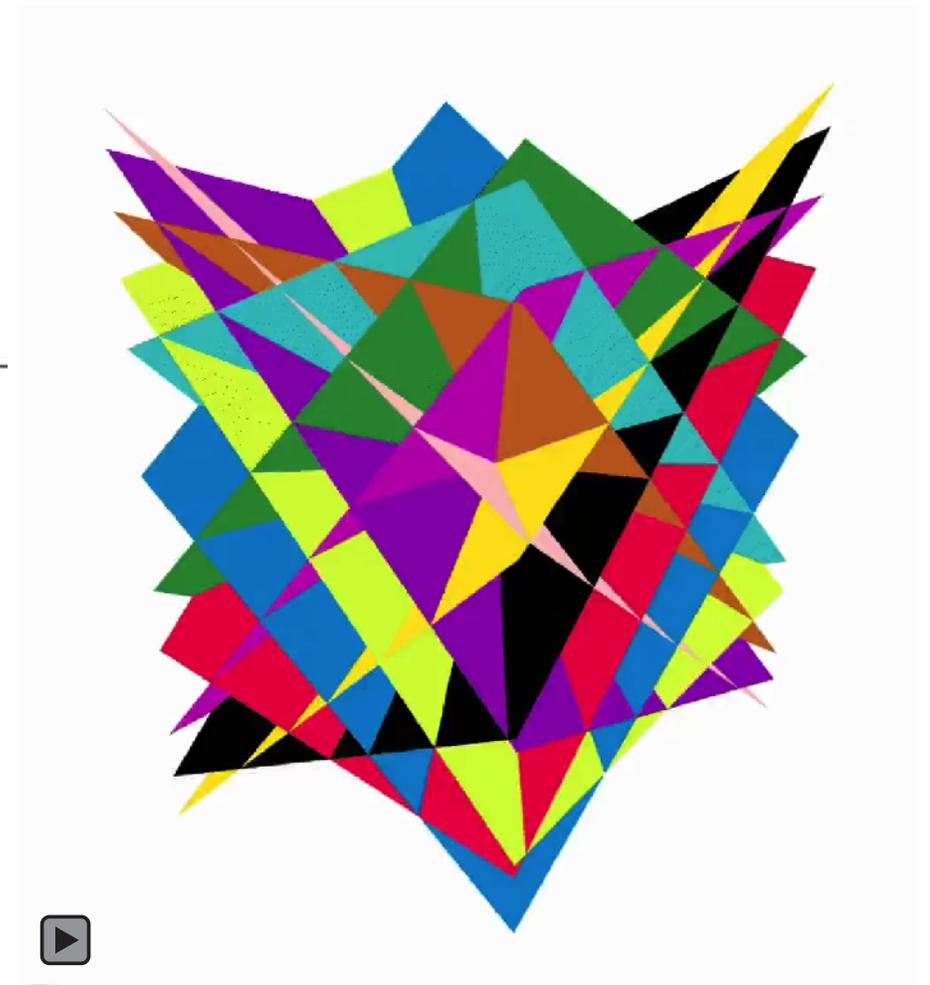
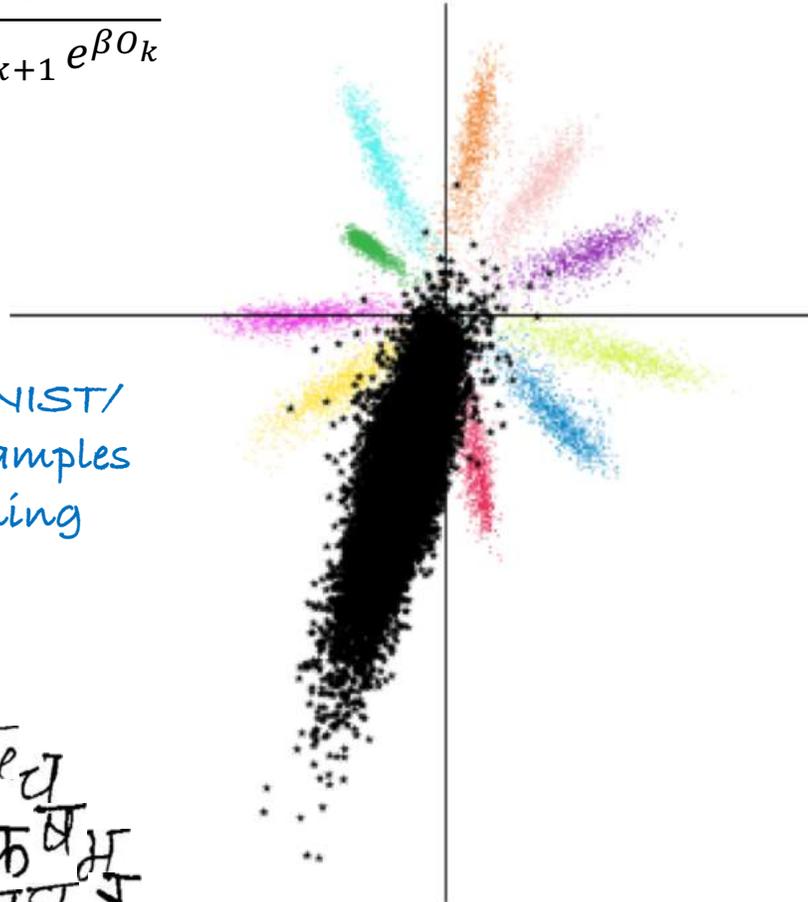
Learning  $\alpha$  plane  
and better features to  
separate the unknowns

Pool of non-MNIST/  
Background samples  
during training

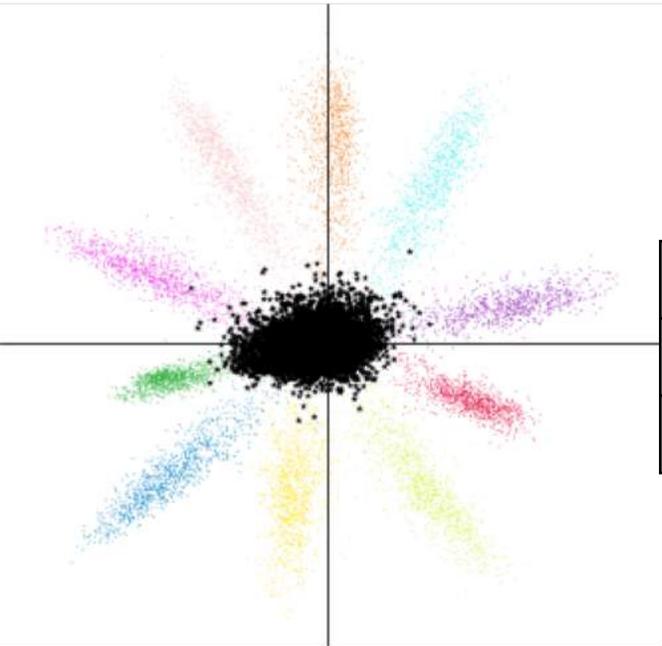
e l f a H p b k  
c m a i x u t  
z / s q r y w

Out-of-distribution /  
unknown samples  
for testing

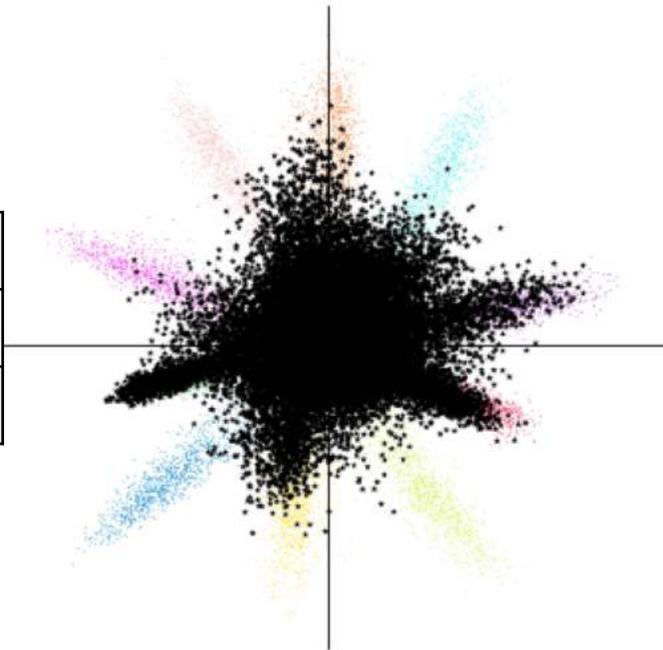
ल भू हं ङ्घ  
व ज न बं फ ष म  
प म ड स ढ उ  
क्ष ख श ण र  
य भ ध क



# Observation from Default Response - Leading to Our Approach

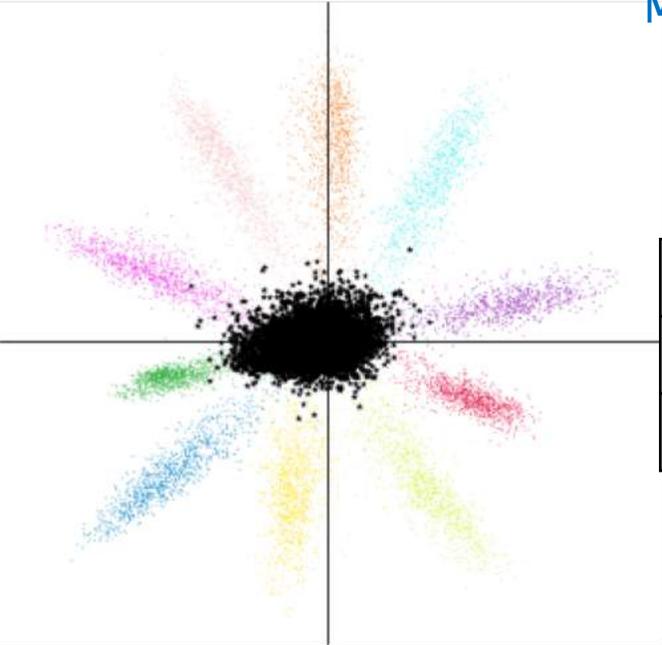


Magnitude of Feature Vector		Entropy	
Knowns	Unknowns	Knowns	Unknowns
$94.90 \pm 27.47$	$32.27 \pm 18.47$	$0.015 \pm .084$	$0.318 \pm .312$

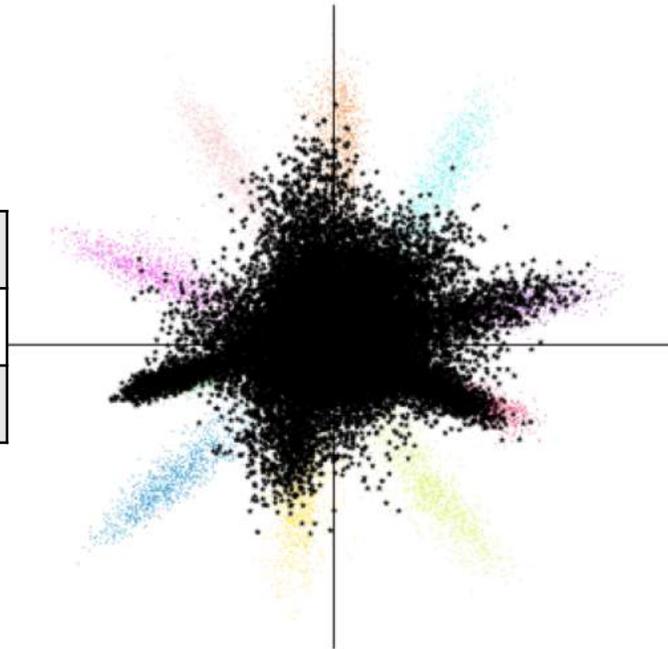


# Observation from Default Response - Leading to Our Approach

Magnitude of Deep Feature Representations of  
Known Samples  $\gg$  Unknown Samples



Magnitude of Feature Vector		Entropy	
Knowns	Unknowns	Knowns	Unknowns
$94.90 \pm 27.47$	$32.27 \pm 18.47$	$0.015 \pm .084$	$0.318 \pm .312$



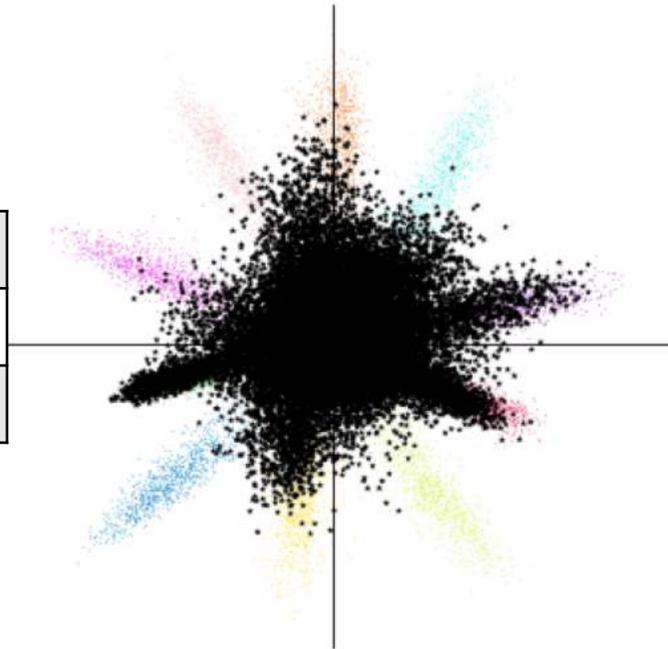
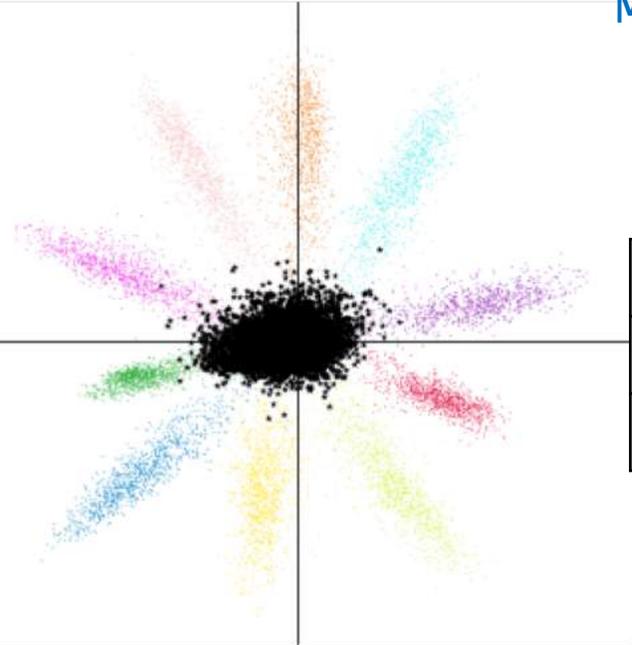
# Observation from Default Response - Leading to Our Approach

Magnitude of Deep Feature Representations of  
Known Samples  $>$  Unknown Samples

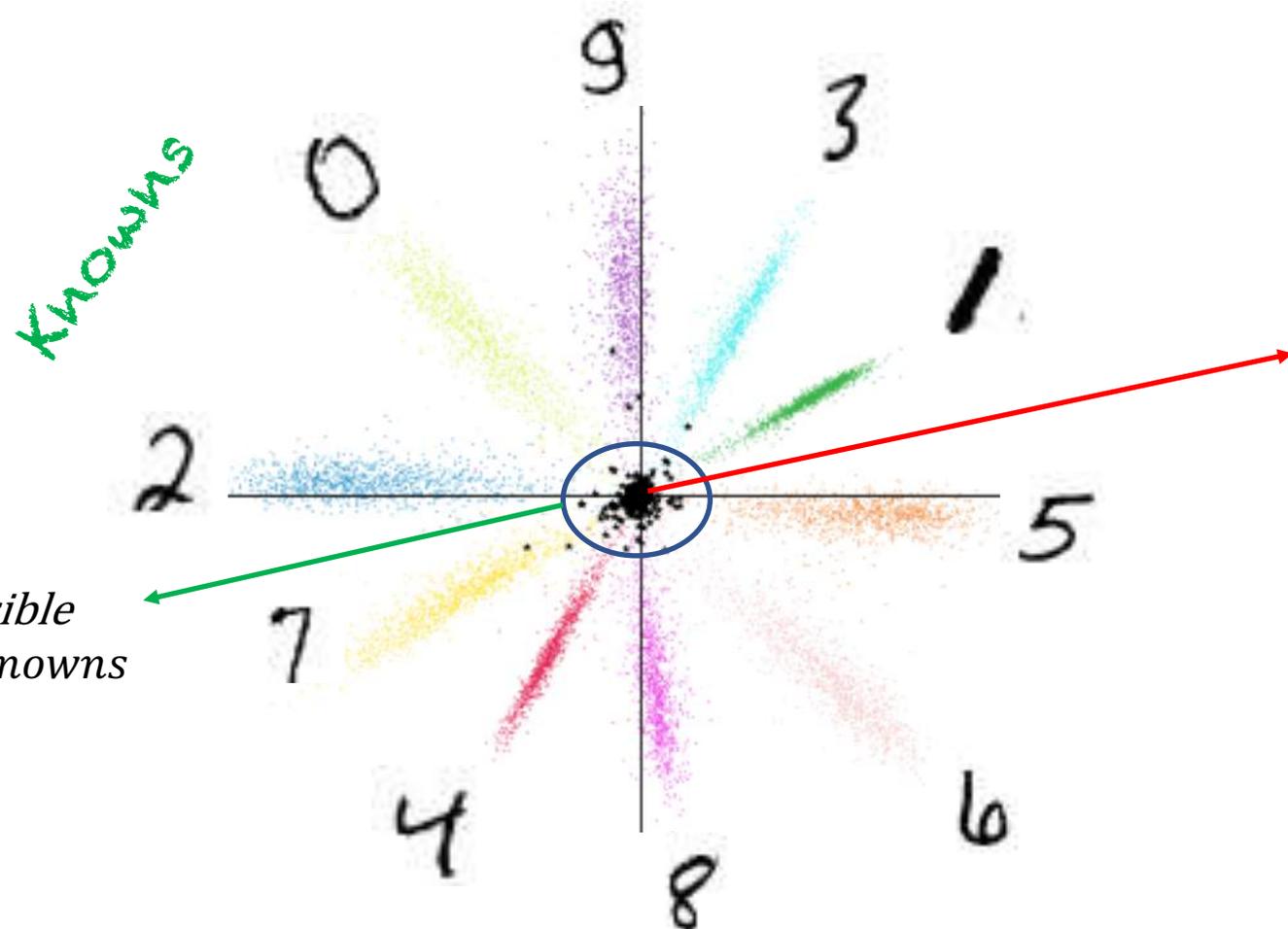
Magnitude of Feature Vector		Entropy	
Knowns	Unknowns	Knowns	Unknowns
$94.90 \pm 27.47$	$32.27 \pm 18.47$	$0.015 \pm .084$	$0.318 \pm .312$

Entropy of

Known Samples  $<$  Unknown Samples



# Our Approach



KNOWNS

UNKNOWN

ल कृ द्य  
व ज न बं फ ष भ  
प म ड ज ग घ ट त्र  
ध ख श ण र उ  
य श व क

Minimum Possible  
Magnitude for Knowns  
 $\xi$

Unknown feature  
vectors pushed to  
center

# Our Approach

## Entropic Open-Set Loss

$$\mathcal{J}_E(x) = \begin{cases} -\log S_c(x) & \text{if } x \in D'_c \\ -\frac{1}{c} \sum_{c=1}^c \log S_c(x) & \text{if } x \in D'_b \end{cases}$$

Increase Entropy  
Margin

Increases entropy of the softmax scores for unknowns

# Our Approach

## Entropic Open-Set Loss

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Increase Entropy  
Margin

Increases entropy of the softmax scores for unknowns

Increase Deep  
Feature Magnitude  
Margin

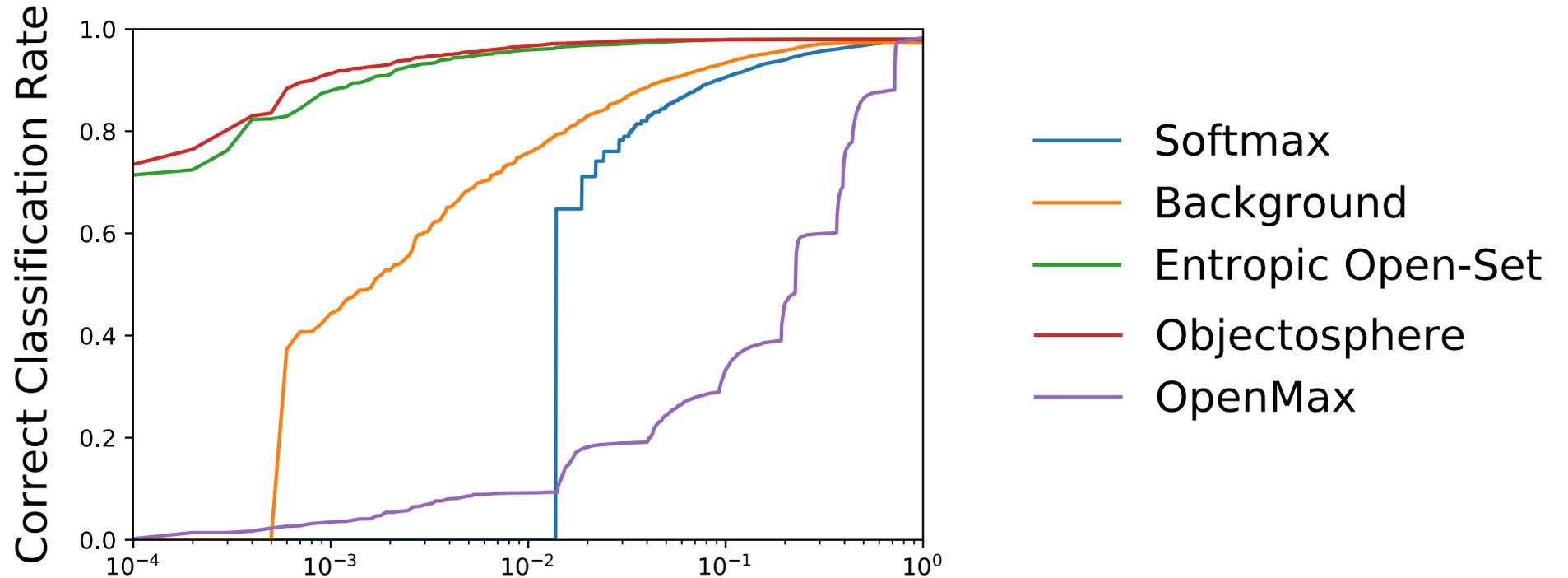
$$\mathcal{J}_R(x) = \mathcal{J}_E + \begin{cases} \max(\xi - \|F(x)\|, 0)^2 - \log S_c(x) & \text{if } x \in D'_c \\ \|F(x)\|^2 & \text{if } x \in D'_b \end{cases}$$

## Objectosphere Loss

Minimizing the Euclidean length of deep representations for unknowns

# Novel Evaluation Metric : Open Set Recognition Curve

49  
30  
12  
57  
68



False Positive Rate : Total Unknowns 10032

ग क घ ष ध ज न ब र ल  
श ऋ उ स ण प क्ष म य ऊ ध  
ख ल हृ द्ध अ फ ल व य श र उ द्ध

# Thank You!

What's at the Poster B#100 ?

- Why this works!
- Drawbacks of current evaluation techniques
- Discussion of Openset deep networks
- Performance on wider networks like ResNet-18
- Implementing Entropic Open-Set loss in 1-line of code 😊

Thoughts and comments are welcome at [adhamija@vast.uccs.edu](mailto:adhamija@vast.uccs.edu)